

## **REGULATION TO AMEND THE SECURITIES REGULATION\***

*Securities Act*  
(R.S.Q., c. V-1.1, s. 331, subpar. 9)

1. Section 271.5 of the *Securities Regulation* is amended:
  - (1) by replacing, in subparagraphs 3, 4 and 5 of the first paragraph, the words “the first day of the fourth month following the end of the financial year” with “on December 31 of each year, in the case”;
  - (2) by replacing clause *a* of subparagraph 3 in the first paragraph with the following:

“(a) \$1 500;”;
  - (3) by inserting the following after subparagraph 3:

“(3.1) the first day of the fourth month following the end of the financial year of a dealer with an unrestricted practice or of a discount broker, the amount exceeding 0.14% of the capital employed in the province and the fee prescribed in clause *a* of subparagraph 3;”.
2. This Regulation comes into force on January 1, 2005.

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\* The *Securities Regulation*, made by Order in Council 660-83 dated 30 March 1983 (1983, G.O. 2, 1269), was last amended by the regulation approved by Order in Council 630-2003 dated 4 June 2003 (2003, G.O. 2, 1887) and Ministerial Order 2003-01 dated 28 May 2003 (2003, G.O. 2, 1890). For previous amendments, refer to the *Tableau des modifications et Index sommaire*, Éditeur officiel du Québec, 2004, updated to 1 March 2004.