

(3) Except in Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of Regulation 14-101 respecting Definitions opposite the name of the local jurisdiction.

PART 6

EFFECTIVE DATE AND TRANSITION

6.1. Effective Date

This Regulation comes into force on June 30, 2010.

6.2. Transition

On or before December 31, 2010, an adviser must provide to a client, if the client was a client on June 30, 2010, the disclosure required under paragraph 4.1 (1) *a* or *b*.

Regulation to repeal policy statement Q-20 use by Dealers of Brokerage Commissions as Payment for Goods or Services Other than Order execution Services (“Soft Dollar” Deals)*

Securities Act
(R.S.Q., c.V-1.1, s. 331.1, pars. (1), (8), (16) and (34);
2009, c. 58, s. 138)

1. Policy Statement Q-20 Use by Dealers of Brokerage Commissions as Payment for Goods or Services Other than Order Execution Services (“Soft Dollar” Deals) is repealed.

2. This Regulation comes into force on June 30, 2010.

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* Policy Statement Q-20 Use by Dealers of Brokerage Commissions as Payment for Goods or Services Other than Order Execution Services (“Soft Dollar” Deals), was adopted pursuant to Decision No. 2001-C-0253 dated June 12, 2001 and published in the weekly Bulletin of the *Commission des valeurs mobilières du Québec* Volume XXXII, No. 25, dated June 22, 2001, and has not been amended since its adoption.