

AMENDMENTS TO *POLICY STATEMENT TO REGULATION 31-103 RESPECTING REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS*

1. Section 3.16 of *Policy statement to Regulation 31-103 respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations* is amended by inserting, in the fourth paragraph, “, except for registered individuals who are dealing representatives of a mutual fund dealer, for their activities in Québec.” after “(SRO provisions)”.

2. Section 9.4 of the Policy Statement is amended:

(1) by inserting, in the second paragraph, “(except in Québec)” after “MFDA members”;

(2) by replacing, in the seventh paragraph, “are MFDA members” by “are also registered in that category in another jurisdiction”.