

REGULATION TO AMEND REGULATION 31-102 RESPECTING NATIONAL REGISTRATION DATABASE

Securities Act
(chapter V-1.1, s. 331.1, par. (11) and (34))

1. Section 1.1 of Regulation 31-102 respecting National Registration Database is amended by replacing, in the definition of the expression “NRD administrator”, “CDS INC” with “the Alberta Securities Commission”.
2. Paragraph (e) of section 4.5 of the Regulation is amended by replacing the part preceding subparagraph (i) with the following:

“(e) pays the following fees within 14 days of the date the payment is due by submitting a cheque, payable to the Ontario Securities Commission in Canadian currency, to CSA Service Desk, Attn: NRD Administrator, 12 Millennium Blvd, Suite 210, Moncton, NB E1C 0M3:”.
3. Section 5.1 of the Regulation is amended by inserting, in the French text of paragraph (5) and after the word “renseignements”, the word “autrement”.
4. This Regulation comes into force on October 12, 2013.