

**REGULATION TO AMEND REGULATION 31-101 RESPECTING NATIONAL REGISTRATION SYSTEM\***

Securities Act

(R.S.Q., c. V-1.1, s. 331.1, par. (1), (2), (3), (11), (25), (26), (33) and (34))

1. Section 1.1 of Regulation 31-101 respecting National Registration System is amended by replacing paragraph (a) of the definition of “principal regulator” with the following:

“(a) for a firm filer, the securities regulatory authority or regulator of the jurisdiction in which the firm filer’s head office is located, and”.

2. Section 2.3 of the Regulation is replaced by the following:

**“2.3 Notice of Change**

If a firm filer changes its head office to another jurisdiction, the firm filer must immediately notify its principal regulator of such change by submitting a completed Form 31-101F2.”.

3. Item 3 of Form 31-101F1 of the Regulation is replaced by the following:

**“3. Reasons for Designation of Principal Regulator**

State here the location of firm filer’s head office.”.

4. Form 31-101F2 of the Regulation is amended:

(1) by replacing Item 1 of the General Instructions with the following:

“1. The Form must be submitted by a firm filer to notify its principal regulator if a firm filer changes its head office to another jurisdiction.”;

(2) by replacing Item 2 with the following:

**“2. Details of Change**

Provide details of the change to the head office.”.

5. This Regulation comes into force on August 1, 2006.

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\* Regulation 31-101 respecting National Registration System, approved by Ministerial Order no. 2005-13 dated August 2, 2005 (2005, G.O. 2, 4719), has not been amended since its approval.