

REGULATION TO AMEND REGULATION 24-101 RESPECTING INSTITUTIONAL TRADE MATCHING AND SETTLEMENT*

Securities Act

(R.S.Q., c. V-1.1, s. 331.1, pars. (26) and (34); 2008, c. 24, s. 225; 2009, c. 25, s. 45)

1. Section 1.1 of Regulation 24-101 respecting Institutional Trade Matching and Settlement is amended by inserting the following definition after the definition of “matching service utility”:

““registered firm” means a person registered under securities legislation as a dealer or adviser;”.

2. The Regulation is amended by replacing, wherever it appears, the word “registrant” with the words “registered firm”.

3. This Regulation comes into force on September 28, 2009.

* Regulation 24-101 respecting Institutional Trade Matching and Settlement, approved by Ministerial Order No. 2007-03 dated March 6, 2007 (2007, G.O. 2, 1270), has not been amended since its approval.