

**AMENDMENTS TO POLICY STATEMENT TO REGULATION 21-101
RESPECTING MARKETPLACE OPERATION**

Securities Act
(R.S.Q., c.V-1.1, s. 274)

1. Section 1.2 of *Policy Statement to Regulation 21-101 respecting Marketplace Operation* is amended:

(1) by replacing, in the first sentence of the French text and wherever it appears, the word “Bourse” with the word “bourse”;

(2) by replacing the last sentence with the following:

“A security that is listed on a foreign exchange or quoted on a foreign quotation and trade reporting system, and is not listed or quoted on a domestic exchange or quotation and trade reporting system, falls within the definition of “foreign exchange-traded security”.”.

2. Paragraph (3) of section 5.1 of the Policy Statement is amended by replacing the last sentence with the following:

“For the purpose of sections 7.1, 7.3, 8.1 and 8.2 of the Regulation, the Canadian securities regulatory authorities do not consider special terms orders that are not immediately executable or that trade in special terms books, such as all-or-none, minimum fill or cash or delayed delivery, to be orders that must be provided to an information processor or, if there is no information processor, to an information vendor for consolidation.”.

3. Section 9.1 of the Policy Statement is amended by deleting paragraphs (3) and (4).

4. The title of Part 12 and section 12.1 of the Policy Statement are amended:

(1) by replacing, wherever they appear, the words “transaction fees” with the words “trading fees”;

(2) by adding, after the first sentence of section 12.1, the following sentence:

“The schedule should include all trading fees and provide the minimum and maximum fees payable for certain representative transactions.”.

5. The Policy Statement is amended by replacing, wherever they appear, the words “person or company” and “persons or companies” with, respectively, the words “person” and “persons”.

6. The Policy Statement is amended by replacing, in the French text and wherever they appear, the words “Bourse” and “Bourses” with, respectively, the words “bourse” and “bourses”.