

c. V-1.1, r. 4

REGULATION 14-501Q RESPECTING DEFINITIONS

Decision 2003-C-0218, Title; M.O. 2005-22, s. 1.

Securities Act

(R.S.Q., c. V-1.1, s. 331.1)

1. In a regulation, unless otherwise indicated by the context, a transaction or a bid intended to prevent or delay a decline in the market price of a security is considered trading intended to fix or stabilize the market price of a security.

Decision 2003-C-0218, s. 1.

1.1. *(Revoked)*.

M.O. 2005-22, s. 2; M.O. 2008-06, s. 1.

1.2. In a regulation, the acronym CUSIP stands for Committee on Uniform Securities Identification Procedures, which is a standard system for identifying and describing securities used for electronically processing and recording securities transactions in North America and the CUSIP number means a number designating a single issue of a Canadian or U.S. security and its issuer.

M.O. 2005-22, s. 2.

1.3. In a regulation, unless otherwise indicated by the context:

(1) "board of directors" means, in addition to a board of directors, an individual or a group of individuals who play a similar role with a person or company that does not have a board of directors;

(2) "manager" means a person or company that directs the business, operations and affairs of the issuer.

M.O. 2005-22, s. 2.

1.4. In a regulation, a person that beneficially owns securities means a person that owns the securities or that holds securities registered under the name of an intermediary acting as nominee, including a trustee or agent.

M.O. 2008-06, s. 2.

2. *(Omitted)*

Decision 2003-C-0218, s. 2.

Decision 2003-C-0218, 2003-04-03
Bulletin hebdomadaire: 2003-04-11, Vol. XXXIV n° 14

Amendments

Decision 2005-PDG-0254, 2005-08-15
Bulletin de l'Autorité: 2005-09-02, Vol. 2 n° 35
M.O. 2005-22, 2005 G.O. 2, 3643

Decision 2008-PDG-0058, 2008-02-22
Bulletin de l'Autorité: 2008-03-14, Vol. 5 n° 10
M.O. 2008-06, 2008 G.O. 2, 726