

REGULATION

14-501Q

ON DEFINITIONS

1. In a regulation, unless otherwise indicated by the context, a transaction or a bid intended to prevent or delay a decline in the market price of a security is considered trading intended to fix or stabilize the market price of a security.
 - 1.1. A mutual fund within the meaning of a regulation made under the Act is an issuer whose primary purpose is to invest money provided by its securityholders and whose securities entitle the holder to receive on demand, or within a specified period after demand, an amount computed by reference to the value of a proportionate interest in the whole or in part of the net assets, including a separate fund or trust account, of the issuer.
 - 1.2. In a regulation, the acronym CUSIP stands for Committee on Uniform Securities Identification Procedures, which is a standard system for identifying and describing securities used for electronically processing and recording securities transactions in North America and the CUSIP number means a number designating a single issue of a Canadian or U.S. security and its issuer.
 - 1.3. In a regulation, unless otherwise indicated by the context:
 - (1) "board of directors" means, in addition to a board of directors, an individual or a group of individuals who play a similar role with a person or company that does not have a board of directors;
 - (2) "manager" means a person or company that directs the business, operations and affairs of the issuer.
2. This Policy Statement shall take effect on the date on which section 100 of *An Act to amend the Securities Act* (S.Q. 2001, c. 38) comes into force.

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Modification

Décision 2005-PDG-0254 -- 15 août 2005
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