

REGULATION TO AMEND THE SECURITIES REGULATION

Securities Act

(chapter V-1.1, s. 331.1, par. (1))

1. Schedule VII of the Securities Regulation (chapter V-1.1, r. 50) is amended by replacing “CICA Handbook”, in the third paragraph of paragraph 4 of part I, by “Handbook”.
2. This Regulation comes into force on 13 September 2023.

106469

M.O., 2023-14

**Order number V-1.1-2023-14 of the Minister of Finance
dated 29 August 2023**

Securities Act
(chapter V-1.1)

CONCERNING the Regulation to amend
Regulation 14-101 respecting Definitions

WHEREAS paragraph 34 of section 331.1 of the Securities Act (chapter V-1.1) provides that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in that paragraph;

WHEREAS the third and fourth paragraphs of section 331.2 of the said Act provide that a draft regulation shall be published in the *Bulletin de l’Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the first and fifth paragraphs of the said section provide that every regulation made under section 331.1 must be approved, with or without amendment, by the Minister of Finance and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

WHEREAS the Regulation 14-101 respecting Definitions was made on 12 June 2001 by the decision no. 2001-C-0274 (*Supplément au Bulletin de la Commission des valeurs mobilières du Québec*, vol. 32, no. 26 of 29 June 2001);

WHEREAS there is cause to amend this Regulation;

WHEREAS the draft regulation to amend Regulation 14-101 respecting Definitions was published for consultation in the *Bulletin de l’Autorité des marchés financiers*, vol. 18, no. 16 of 22 April 2021;

WHEREAS the *Autorité des marchés financiers* made, on 9 August 2023, by the decision no. 2023-PDG-0037, Regulation to amend Regulation 14-101 respecting Definitions;

WHEREAS there is cause to approve this Regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend Regulation 14-101 respecting Definitions appended hereto.

29 August 2023

ERIC GIRARD
Minister of Finance

REGULATION TO AMEND REGULATION 14-101 RESPECTING DEFINITIONS

Securities Act

(chapter V-1.1, s. 331.1, par. (34))

1. Section 1.1 of Regulation 14-101 respecting Definitions (chapter V-1.1, r. 3) is amended, in paragraph (3):

(1) by replacing the definition of “Canadian financial institution” by the following:

““Canadian financial institution” means

- (a) a bank listed in Schedule I or II to the Bank Act (S.C., 1991, c. 46),
- (b) a body corporate, as defined in the Trust and Loan Companies Act (S.C., 1991, chapter 45) and to which that Act applies,
- (c) an association, as defined in the Cooperative Credit Associations Act (S.C., 1991, c. 48) and to which that Act applies,
- (d) an insurance company or a fraternal benefit society incorporated or formed under the Insurance Companies Act (S.C. 1991, c. 47),
- (e) a trust, loan or insurance corporation authorized to carry on business by or under an Act of the legislature of a jurisdiction of Canada,
- (f) a credit union, central credit union, *caisse populaire*, financial services cooperative or credit union league or federation that is incorporated or otherwise authorized to carry on business by or under an Act of the legislature of a jurisdiction of Canada, or
- (g) a treasury branch established by or under an Act of the legislature of a jurisdiction of Canada”;

(2) by replacing the definition of “Handbook” by the following:

““Handbook” means

- (a) the Chartered Professional Accountants of Canada Handbook - Accounting, as amended from time to time, and
- (b) the Chartered Professional Accountants of Canada Handbook - Assurance, as amended from time to time;”.

2. This Regulation comes into force on 13 September 2023.