

REGULATION TO AMEND REGULATION 14-101 RESPECTING DEFINITIONS

Securities Act
(chapter V-1.1, s. 331.1, par. (34))

1. Section 1.1 of Regulation 14-101 respecting Definitions (chapter V-1.1, r. 3) is amended, in paragraph (3):

(1) by replacing the definition of “Canadian financial institution” by the following:

““Canadian financial institution” means

- (a) a bank listed in Schedule I or II to the Bank Act (S.C., 1991, c. 46),
- (b) a body corporate, as defined in the Trust and Loan Companies Act (S.C., 1991, chapter 45) and to which that Act applies,
- (c) an association, as defined in the Cooperative Credit Associations Act (S.C., 1991, c. 48) and to which that Act applies,
- (d) an insurance company or a fraternal benefit society incorporated or formed under the Insurance Companies Act (S.C. 1991, c. 47),
- (e) a trust, loan or insurance corporation authorized to carry on business by or under an Act of the legislature of a jurisdiction of Canada,
- (f) a credit union, central credit union, *caisse populaire*, financial services cooperative or credit union league or federation that is incorporated or otherwise authorized to carry on business by or under an Act of the legislature of a jurisdiction of Canada, or
- (g) a treasury branch established by or under an Act of the legislature of a jurisdiction of Canada”;

(2) by replacing the definition of “Handbook” by the following:

““Handbook” means

- (a) the Chartered Professional Accountants of Canada Handbook - Accounting, as amended from time to time, and
- (b) the Chartered Professional Accountants of Canada Handbook - Assurance, as amended from time to time;”.

2. This Regulation comes into force on 13 September 2023.