# **Draft Regulations**

#### Securities Act (chapter V-1.1, s. 331.1, pars. (11) and (34), and s. 331.2)

**Derivatives Act** (chapter I-14.01, s. 175, 1<sup>st</sup> par., subpar. (2), (9), (11) and (12))

### Regulation to amend Regulation 14-101 respecting Definitions and concordant regulations

Notice is hereby given by the *Autorité des marchés financiers* (the "Authority") that, in accordance with section 331.2 of the *Securities Act*, chapter V-1.1, the following Regulations, the texts of which are published hereunder, may be made by the Authority and subsequently submitted to the Minister of Finance for approval, with or without amendment, after 90 days have elapsed since their publication in the Bulletin of the Authority:

- Regulation to amend Regulation 14-101 respecting Definitions;

- Regulation to amend Regulation 31-103 respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations;

- Regulation to amend Regulation 45-106 respecting Prospectus Exemptions;

- Regulation to amend Regulation 62-103 respecting the Early Warning System and Related Take-Over Bid and Insider Reporting Issues;

- Regulation to amend Regulation 94-102 respecting Derivatives: Customer Clearing and Protection of Customer Collateral and Positions.

## **Request for comment**

Comments regarding the above may be made in writing by July 21, 2021, to the following:

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## **Further information**

Further information is available from:

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