

## **AMENDMENTS TO *POLICY STATEMENT 12-203 RESPECTING MANAGEMENT CEASE TRADE ORDERS***

1. Section 2 of *Policy Statement 12-203 respecting Management Cease Trade Orders* is amended by striking out the definition of the expression “SEDAR”.

2. The Policy Statement is amended by inserting, after section 4, the following:

### **“Electronic transmission**

**4.1.** *Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference)* prescribes that each document that is required or permitted to be provided to a regulator, except in Québec, or securities regulatory authority must be transmitted to the regulator, except in Québec, or securities regulatory authority electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a regulator, except in Québec, or securities regulatory authority.

To reflect the phased implementation of SEDAR+, the Appendix of *Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

*Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a regulator, except in Québec, or securities regulatory authority under this policy statement.”.

3. Section 9 of the Policy Statement is amended by striking out “on SEDAR”.

4. Section 14 of the Policy Statement is amended by striking out, in the paragraph following subparagraph (ii), “then, for the purposes of filing on SEDAR,” and “electronic”.