

REGULATION TO AMEND REGULATION 11-102 RESPECTING PASSPORT SYSTEM

Securities Act
(R.S.Q., c. V-1.1, s. 331.1, par. (8), (11), (32) and (33.8))

1. Appendix D of Regulation 11-102 respecting Passport System is amended by replacing the row that refers to Regulation 21-101 respecting Marketplace Operation with the following:

Marketplace operation	Regulation 21-101 (only Parts 3, 4, 7, 8, 11 and 13 and sections 5.1(1), 5.1(2), 5.9, 5.10, 6.1, 6.2, 6.3, 6.7, 6.9 and 6.11, as those parts and sections apply to an ATS)
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2. Appendix E of the Regulation is amended by inserting, after “- Regulation 23-101 respecting Market Operations”, the following row:

“- Regulation 23-102 respecting Use of Client Brokerage Commissions;”.

3. This Regulation comes into force on July 1, 2012.