

## REGULATION TO AMEND REGULATION 11-102 RESPECTING PASSPORT SYSTEM

Securities Act  
(R.S.Q., c. V-1.1, s. 331.1, par. (11), (32) and (33.8))

1. Appendix D of Regulation 11-102 respecting Passport System is amended:

(1) by replacing the row that refers to Regulation 21-101 respecting Marketplace Operation with the following:

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| “ Marketplace operation | Regulation 21-101<br>(only Parts 3, 4, 7, 8, 11 and 13 and sections 5.1(1), 5.1(2), 5.9, 5.10, 6.1, 6.2, 6.3, 6.7, 6.9 and 6.11, as those parts and sections apply to an ATS )”; |
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(2) by inserting, after the row that refers to Regulation 23-102 respecting Use of Client Brokerage Commissions, the following:

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| “ Electronic trading and direct electronic access to marketplaces | Regulation 23-103<br>(only sections 3(1), 3(2), 3(3)(a) to 3(3)(d), 3(4) to 3(7), 4, 5(2), 6, 7, 8(b), 8(c), 8(e) to 8(h), 9 and 11)”. |
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2. Appendix E of the Regulation is amended by inserting, after “- Regulation 23-101 respecting Market Operations”, the following rows:

“- Regulation 23-102 respecting Use of Client Brokerage Commissions;

“- Regulation 23-103 respecting Electronic Trading and Direct Electronic Access to Marketplaces;”.

3. Paragraph 1 of section 1 of this Regulation comes into force on *(indicate the date of coming into force of the provision)*.

4. Paragraph 2 of section 1 and section 2 of this Regulation come into force on *(indicate the date of coming into force of the provisions)*.