NOTICE RELATING TO LIFTING OF A CEASE TRADING ORDER IN VIEW OF TAKING A CAPITAL LOSS

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- 1. The Commission may lift a cease trading order on the securities of a company in order to enable a security holder to dispose of his securities and declare a capital loss.
- 2. The security holder who wishes to carry out such transaction must send a request containing the following information to the Commission:
 - (1) the name of the company;
 - (2) the number of securities held;
 - (3) the certificate number and the name of the registered security holder;
 - (4) the name and address of the acquirer.
- 3. The security holder must confirm that the purpose of the transaction is to realize a capital loss.
- 4. The acquirer must acknowledge that he is aware of the cease trading order and knows that he may not dispose of the securities before it has been lifted.
- 5. The security holder who makes a gift of his securities needs not request the lifting of the cease trading order.