

## REGULATION TO AMEND THE DERIVATIVES REGULATION

### Derivatives Act

(chapter I-14.01, s. 175, 1<sup>st</sup> par., pars. (2), (3), (12), (13), (14), (16), (20), (20.1), (20.2), (25), (26) and (27))

1. Section 11.1 of the Derivatives Regulation (chapter I-14.01, r. 1) is amended:

(a) by deleting “3.11 to 3.13,”;

(b) by replacing “Part 11, sections 12.1” by “sections 11.1, 11.4”;

(c) by replacing “Part 13 and sections” by “sections 13.2 to 13.19,”; and

(d) by adding “in respect of their derivatives activities to which Regulation 93-101 respecting Derivatives: Business Conduct, approved by Order number I-14.01-2023-21 of the Minister of Finance dated December 5, 2023, (2023), 51 G.O. II, does not apply” at the end.

2. The Regulation is amended by inserting the following after section 11.1:

“**11.1.1** Regulation 31-102 respecting National Registration Database (chapter V-1.1, r. 9), sections 1.1, 1.3, 2.2, 3.1 to 3.3, 3.15(1), 4.1, 4.2, 8.23 to 8.25, 8.30, 9.1, 9.3(1), 11.4, 11.9, 11.10, 12.1 to 12.4, 12.6 to 12.13, 13.2.01, 13.2.1, 13.12, 13.18, 13.19, 14.4 and 14.11 of Regulation 31-103 respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations (chapter V-1.1, r. 10), and Regulation 33-109 respecting Registration Information (chapter V-1.1, r. 12), apply, with the necessary modifications, to the persons contemplated in subdivision 1 in respect of their derivatives activities to which Regulation 93-101 respecting Derivatives: Business Conduct, approved by Order number I-14.01-2023-21 of the Minister of Finance dated December 5, 2023, (2023), 51 G.O. II, applies.”

3. This Regulation comes into force on 28 September 2024.