

## Draft Regulation

Derivatives Act

(S.Q. 2008, c. 24, s. 175, subpars. (2), (3), (12), (13), (14), (15), (16), (17), (20), (20.1), (20.2), (26), (27) and (29); 2009, c. 25)

## Regulation to amend the Derivatives Regulation

Notice is hereby given by the *Autorité des marchés financiers* (the "Authority") that, in accordance with section 175 of the *Derivatives Act* (S.Q. 2008, c. 24), the following draft Regulation, the text of which is published hereunder, may be made by the Authority and subsequently submitted to the Minister of Finance for approval, with or without amendment, after 30 days have elapsed since its publication in the Bulletin of the Authority:

- *Regulation to amend the Derivatives Regulation.*

This draft Regulation is part of the imminent coming into force of legislative and regulatory registration reform provisions and is intended primarily to ensure harmonization between the framework governing the activities carried out by derivatives dealers and advisers and the framework set out in the new registration regime. As well, the draft Regulation is intended to maintain the status quo regarding the education, experience and proficiency requirements in matters related to derivatives in anticipation of the coming into force. However, these requirements will be reviewed at a later date.

## Request for comment

Comments regarding the above may be made in writing before **August 31, 2009**, to the following:

M<sup>e</sup> Anne-Marie Beaudoin  
Corporate Secretary  
Autorité des marchés financiers  
800, square Victoria, 22<sup>e</sup> étage  
C.P. 246, tour de la Bourse  
Montréal (Québec) H4Z 1G3  
Fax: 514-864-6381  
E-mail: [consultation-en-cours@lautorite.qc.ca](mailto:consultation-en-cours@lautorite.qc.ca)

## Further information

Further information is available from:

Isabelle Pelletier  
Lawyer  
Autorité des marchés financiers  
514-395-0337, ext. 2566  
Toll-free: 1-877-525-0337, ext. 2566  
[isabelle.pelletier@lautorite.qc.ca](mailto:isabelle.pelletier@lautorite.qc.ca)

**July 31, 2009**