

Draft Regulation

Derivatives Act
(chapter I-14.01, s. 175, 1st par., subpar. (2), (3), (11), (12), (26) and (29), and s. 177)

Regulation 93-101 respecting Derivatives: Business Conduct

Notice is hereby given by the *Autorité des marchés financiers* (the "Authority") that, in accordance with section 175 of the *Derivatives Act* (chapter I-14.01), the following Regulation, the text of which is published hereunder, may be made by the Authority and subsequently submitted to the Minister of Finance for approval, with or without amendment, after 60 days have elapsed since its publication in the Bulletin of the Authority:

- *Regulation 93-101 respecting Derivatives: Business Conduct.*

Draft *Policy Statement to Regulation 93-101 respecting Derivatives: Business Conduct* is also published hereunder.

Request for comment

Comments regarding the above may be made in writing by **March 21, 2022**, to the following:

Me Philippe Lebel
Secrétaire et directeur général des affaires juridiques
Autorité des marchés financiers
Place de la Cité, tour Cominar
2640, boulevard Laurier, bureau 400
Québec (Québec) G1V 5C1
Fax : (514) 864-6381
E-mail : consultation-en-cours@lautorite.qc.ca

Further information

Further information is available from:

Dominique Martin
Co-Chair, CSA Derivatives Committee Director, Oversight of Trading Activities
Autorité des marchés financiers
514 395-0337, ext. 4351
Toll-free: 1 877 525-0337
dominique.martin@lautorite.qc.ca

January 20, 2022