

Notice respecting the requirement to be registered as a derivatives dealer under the Derivatives Act as of September 5, 2015 for dealers carrying on activities pursuant to *Décision générale n° 2009-PDG-0007 relative à la dispense d'application des articles 54, 56 et du premier alinéa de l'article 82 de la Loi sur les instruments dérivés*

On April 22, 2015, the *Autorité des marchés financiers* (the "Authority") issued Decision No. 2015-PDG-0066 revoking, on September 5, 2015, Decision No. 2009-PDG-0007 issued on January 22, 2009 titled *Décision générale n° 2009-PDG-0007 relative à la dispense d'application des articles 54, 56 et du premier alinéa de l'article 82 de la Loi sur les instruments dérivés* (Blanket order No. 2009-PDG-0007 Respecting exemption from sections 54, 56 and the first paragraph of section 82 of the *Derivatives Act*) [(2015) Vol. 12, No. 17, AMF Bulletin, section 6.10] (in French only).

Persons carrying on activities under the registration exemption regime pursuant to Decision No. 2009-PDG-0007 and seeking to pursue their activities will be required to file, no later than September 4, 2015, a duly completed registration application using Form 33-109F6 - *Firm Registration*. This form is available on the Authority website at <http://www.lautorite.qc.ca/en/forms-securities-pro.html> and must be filed at the following address: inscription@lautorite.qc.ca.

Persons who are not Dealer Members under the Rules of the Investment Industry Regulatory Organization of Canada (IIROC) must also file an application for membership with IIROC as this is a precondition for registration as a derivatives dealer. The Authority generally expects applicants to have completed the registration and membership application process within six months following the filing of applications.

Additional Information

Additional information is available from:

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