

REGULATION TO AMEND THE REGULATION RESPECTING THE KEEPING AND PRESERVATION OF BOOKS AND REGISTERS

Act respecting the distribution of financial products and services
(chapter D-9.2, s. 223, pars. (11) and (12))

1. Section 7 of the Regulation respecting the keeping and preservation of books and registers (chapter D-9.2, r. 19) is amended by inserting the following after subparagraph 4:

“(5) in the case of the separate account kept by a firm, an independent representative or an independent partnership registered in the sector of mortgage brokerage:

(a) the date of the deposit to the separate account;

(b) the date of the withdrawal from the separate account;

(c) the name of the recipient of the amount paid out of the separate account.”.

2. This Regulation comes into force on 1 May 2020.