

## **REGULATION TO AMEND THE REGULATION RESPECTING THE PURSUIT OF ACTIVITIES AS A REPRESENTATIVE**

Act respecting the distribution of financial products and services  
(chapter D-9.2, s. 200, par. (10))

**1.** The Regulation respecting the pursuit of activities as a representative (chapter D-9.2, r. 10) is amended by inserting the following after section 9.10:

“§ 4. — *Rules specific to claims adjusters*

**9.11.** The supervisor of a person referred to in subparagraph 3 of the second paragraph of section 10 of the Act respecting the distribution of financial products and services (chapter D-9.2) must be a representative authorized to act in the “claims adjustment” sector or “personal-lines claims adjustment” sector class who, for at least 24 of the last 36 months, has held a certificate and acted as a representative in such sector or sector class.

**9.12.** To act as the supervisor of a person referred to in subparagraph 3 of the second paragraph of section 10 of the Act respecting the distribution of financial products and services (chapter D-9.2), a representative must satisfy the following conditions:

(1) in the five years preceding the date on which he must begin to act as a supervisor, he has not been the subject of a disciplinary sanction imposed under the Act respecting the distribution of financial products and services (chapter D-9.2) or been struck off the roll by a disciplinary committee of a professional order, and he is not the subject of such a sanction or striking off the roll while he acts as a supervisor;

(2) he does not hold a certificate carrying restrictions or conditions under section 218, 219 or 220 of the Act respecting the distribution of financial products and services (chapter D-9.2) that affect his ability to act as a supervisor.

**9.13.** The supervisor of a person referred to in subparagraph 3 of the second paragraph of section 10 of the Act respecting the distribution of financial products and services (chapter D-9.2) must review the tasks and steps completed by the person:

(1) At random, after negotiation of the settlement, for settlement proposals that match the client’s claim;

(2) For every file, prior to negotiation of the settlement, for settlement proposals that do not match the client's claim.

He must also document this review.

In all cases, the supervisor must ensure that the settlement proposal is consistent with the insurance contract.”

**2.** This Regulation comes into force on 9 May 2025.