

Notice of the Authority relating to filing of annual returns and other documents for the fiscal year ending December 31, 2014 – Insurers of persons licensed in Quebec

Under *An Act respecting insurance*, CQLR, c. A-32, (the “Act”), every insurer is required to file with the *Autorité des marchés financiers* (the “AMF” or the “Authority”), in such form as the AMF may determine and within the prescribed time period, the documents and information required under the Act or requested by the AMF pursuant to specific provisions of the Act (the “required or requested documents and information”).

All required or requested documents and information must be filed electronically via the AMF website using the File Transfer Service (“FTS”)¹.

See the Appendices attached to this Notice concerning specific AMF requirements for the filing of documents for the fiscal year ending December 31, 2014.

Please note that following the update of the LIFE Annual Return form, the Affidavit verifying annual return has changed. This certificate must be certified under oath by two directors. The Attestation of Compliance of Versions has also been revised after this modification.

A complete set of « paper » copies of the signed documents must be kept at the insurer’s premises so that they are available for examination by the AMF, if necessary.

Administrative monetary sanctions

We remind you that each insurer is responsible for ensuring that the AMF receives, **within the prescribed time periods**, all required or requested documents and information in all forms as required.

Under section 405.1 of the Act, the AMF may impose an administrative sanction where any of the documents referred to in the Appendix are filed late or are not filed.

The *Notice of the Autorité des marchés financiers related to administrative monetary sanctions imposed for late filing of information or documents (sections 405.1 and following of the Act)*² (the “sanctions framework”) is posted on the AMF website at: <http://www.lautorite.qc.ca/en/right-practice-pers-pro.html>.

¹ Decision no. 2012-PDG-0106 (in French only) dated June 5, 2012 and published in the AMF Bulletin of June 7, 2012, vol. 9, no. 23, section 5.6.

² Update published in the AMF Bulletin (in French only) dated June 7, 2012, vol. 9, no. 23, section 5.1.

Electronic submission of financial returns and other documents

The *File Transfer Service (FTS) User Guide* explains the procedure for submitting data via the AMF website. To consult this guide, access the FTS and click on Help.

The *Guide – Specific File Transfer Service (FTS) Requirements (the “guide of specific requirements”)* contains instructions from the *Direction principale de la surveillance des assureurs et du contrôle du droit d’exercice* (Direction, Supervision of insurers and Control of Right to Practise), such as the appropriate file naming convention.

Please note that the guide of specific requirements does not list the required or requested documents and information and the dates by which they are to be filed.

An updated version of the guide of specific requirements is available on the AMF website at:
<http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html>

Further information

Further information is available by sending an e-mail to info-divulgations@lautorite.qc.ca.

December 4, 2014

**DOCUMENTS TO BE FILED BY INSURERS OF PERSONS
LICENSED IN QUEBEC
FOR FISCAL YEAR ENDING DECEMBER 31, 2014**

APPENDIX 1

QUEBEC	Unstructured Return Code	File Format	Due date
1. LIFE Annual Return. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel ASCII (.10a)	February 28, 2015
2. LIFE Annual Return, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: <ul style="list-style-type: none"> - Duly signed Certification by director's (page 10.000) - Duly signed Affidavit verifying Annual Return (page 10.005) - Annual corporate information (pages 10.010 à 10.014) - Corporate organization chart (page 10.040) - Statement of cash flows (page 20.050) - Notes to the financial statements (page 20.060) - Duly signed independent auditor's report to AMF covering pages 20.010 through 20.060 and 60.010 (page 20.070) - Duly signed appointed actuary's Certificate (page 20.085) 	-	pdf	February 28, 2015
3. Attestation of Compliance of Versions (LIFE), duly signed . http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	February 28, 2015
4. Classes of insurance, duly signed . (Regulation under the Act respecting insurance) (New)	120	pdf	February 28, 2015 (New)
5. Audited financial statements presented to shareholders or members, duly signed . ("Official" version)	200	Searchable pdf file	February 28, 2015
6. Annual report prepared for shareholders or members as soon as possible. (if applicable).	200	pdf	
7. Financial statements of the insurer, and subsidiaries, affiliates and joint ventures in which the insurer has an ownership interest. (if applicable)	300	pdf	February 28, 2015
8. Appointed actuary's report on policy liabilities, including certificate, duly signed .	400	Searchable pdf file	February 28, 2015
9. Excel file containing certain data in appointed actuary's report on policy liabilities. http://www.lautorite.qc.ca/en/actuary-guide-pro.html	420	Excel	February 28, 2015
10. Peer review - Appointed actuary's report. (if applicable)	440	pdf	Note 1
11. Actuary's review of the method of allocating income and expenses to participating and non-participating business. (section 298.17 of the Act) (if applicable)	450	pdf	February 28, 2015
12. Dynamic Capital Adequacy Testing - DCAT, duly signed . (30 days after transmission to the Board of Directors/Audit Committee or see due date) (The updated instructions will be available on the AMF website at the latest March, 2015)	500	Searchable pdf file	December 31, 2015
13. Excel File containing certain DCAT data. (The Excel file will be available on the AMF website at the latest March, 2015) http://www.lautorite.qc.ca/en/actuary-guide-pro.html	510	Excel	December 31, 2015
14. Peer review - DCAT (if applicable).	520	pdf	Note 1
15. QFP disclosure Form on Capital Adequacy Requirements guideline - Life and Health Insurance. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/capital-adequacy-requirements-pro.html		Excel ASCII (.qfp)	February 28, 2015
16. QFP disclosure Form on Capital Adequacy Requirements guideline - Life and Health Insurance, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include the independent auditor's report. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/capital-adequacy-requirements-pro.html	-	pdf	March 31, 2015
17. Attestation of Compliance of Versions (QFP), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report.) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	710	pdf	March 31, 2015
18. Appointed actuary's report on Capital Adequacy Requirements Guideline, duly signed .	720	pdf	February 28, 2015
19. Peer review - QFP. (if applicable)	735	pdf	Note 1
20. Ethics committee report, duly signed .	760	pdf	February 28, 2015

Note 1 : 30 days after transmission to the Audit Committee.

**DOCUMENTS TO BE FILED BY INSURERS OF PERSONS
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FEDERAL AND EXTRA-PROVINCIAL (Including Fraternal Benefit Societies)	Unstructured Return Code	File Format	Due date
1. LIFE Annual Return. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel ASCII (.10a)	February 28, 2015
2. LIFE Annual Return, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It <u>must include</u>, among others, the following documents: <ul style="list-style-type: none"> - Duly signed Certification by director's (page 10.000) - Duly signed Affidavit verifying Annual Return (page 10.005) - Annual corporate information (pages 10.010 à 10.014) - Corporate organization chart (page 10.040) - Statement of cash flows (page 20.050) - Notes to the financial statements (page 20.060) - Duly signed independent auditor's report to primary regulator covering pages 20.010 20.060 and 60.010 (page 20.070) - Duly signed appointed actuary's Certificate of Opinion (page 20.080) 	-	pdf	February 28, 2015
3. Attestation of Compliance of Versions (LIFE), duly signed . http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	February 28, 2015
4. Classes of insurance, duly signed . (Regulation under the Act respecting insurance) (New)	120	pdf	February 28, 2015 (New)
5. Audited financial statements presented to shareholders or members, duly signed . ("Official" version).	200	Searchable pdf file	February 28, 2015
6. Annual report prepared for shareholders or members as soon as possible. applicable) (if	200	pdf	
7. Appointed actuary's report on policy liabilities, including certificate, duly signed .	400	Searchable pdf file	February 28, 2015
8. Excel file containing certain data in appointed actuary's report on policy liabilities.	420	Excel	February 28, 2015
9. Peer review - Appointed actuary's report.	440	pdf	Note 1
10. Dynamic Capital Adequacy Testing - DCAT, duly signed . (30 days after transmission to the Board of Directors/Audit Committee or see due date)	500	Searchable pdf file	December 31, 2015
11. Excel File containing certain DCAT data. (The Excel file that must be used will be available on the AMF website at the latest March, 2015) http://www.lautorite.qc.ca/en/actuary-guide-pro.html	510	Excel	December 31, 2015
12. Peer review - DCAT.	520	pdf	Note 1
13. OSFI 87, Minimum Continuing Capital and Surplus Requirements - Canadian Life Insurance Companies and Fraternal Benefit Societies (MCCSR). Hyperlink to instructions and updated form: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/ic-sa/lic-sav/Pages/87.aspx	-	Excel ASCII (.mcc)	February 28, 2015
14. OSFI 87, Minimum Continuing Capital and Surplus Requirements - Canadian Life Insurance Companies and Fraternal Benefit Societies (MCCSR), duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include the independent auditor's report. Note: Fraternal Benefit Societies must also file a pdf document, according to the above instructions, but need not include the auditor's report.	-	pdf	March 31, 2015
15. Attestation of Compliance of Versions (OSFI-87), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	710	pdf	March 31, 2015
16. Appointed actuary's report on the certification of Regulatory Capital Filing.	720	pdf	February 28, 2015
17. Peer Review - MCCSR.	735	pdf	Note 1

Note 1 : 30 days after transmission to the Audit Committee.

**DOCUMENTS TO BE FILED BY INSURERS OF PERSONS
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APPENDIX 1

FOREIGN (Including Fraternal Benefit Societies)	Unstructured Return Code	File Format	Due date
1. LIFE Annual Return, duly signed . The Excel file must contain the duly signed certification by the chief agent in Canada (page 10.002) and the notes to the financial statements, <u>draft version</u> . Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel ASCII (.20a)	February 28, 2015
2. LIFE Annual Return, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: <ul style="list-style-type: none"> - Duly signed Certification by the chief agent in Canada (page 10.002) - Duly signed Certification by the President/Chief executive officer (page 10.004) - Annual corporate information (pages 10.010 à 10.012) - Corporate organization chart (page 10.040) - Statement of cash flows (page 20.050) - Notes to the financial statements (page 20.060) - Duly signed independent auditor's report to primary regulator covering pages 20.010 20.060 and 60.010 (page 20.070) - Duly signed appointed actuary's Certificate of Opinion (page 20.080) 	-	pdf	May 31, 2015
3. Attestation of Compliance of Versions (LIFE), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report.) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	May 31, 2015
4. Classes of insurance, duly signed . (Regulation under the Act respecting insurance) (New)	120	pdf	May 31, 2015 (New)
5. Audited Statutory Financial Statements of <u>the insurer incorporated abroad and operating via a branch in Canada</u> , duly signed . ("Official" version) (Worldwide).	200	pdf	May 31, 2015
6. Annual report prepared for shareholders or members as soon as possible. (if applicable)	200	pdf	
7. Appointed actuary's report on policy liabilities, including certificate, duly signed .	400	Searchable pdf file	February 28, 2015
8. Excel file containing certain data in appointed actuary's report on policy liabilities.	420	Excel	February 28, 2015
9. Peer review - Appointed actuary's report.	440	pdf	Note 1
10. Dynamic Capital Adequacy Testing - DCAT, duly signed . (30 days after transmission to the Board of Directors/Audit Committee or see due date)	500	Searchable pdf file	December 31, 2015
11. Excel File containing certain DCAT data. (The Excel file that must be used will be available on the AMF website at the latest March, 2015) http://www.lautorite.qc.ca/en/actuary-guide-pro.html	510	Excel	December 31, 2015
12. Peer review - DCAT.	520	pdf	Note 1
13. OSFI 86, Test of Adequacy of Assets in Canada and Margin Requirements (TAAM) Foreign Life Insurance Companies and Fraternal Benefit Societies. Hyperlink to instructions and updated form: http://www.osfi-bsif.gc.ca/Eng/ti-if/rtn-rlv/fr-rf/ic-sa/lic-sav/Pages/86.aspx	-	Excel ASCII (.taa)	February 28, 2015
14. OSFI 86, Test of Adequacy of Assets in Canada and Margin Requirements (TAAM) Foreign Life Insurance Companies and Fraternal Benefit Societies, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include the independent auditor's report. Note: Fraternal Benefit Societies must also file a pdf document, according to the above instructions, but need not include the auditor's report.	-	pdf	May 31, 2015
15. Attestation of Compliance of Versions (OSFI-86), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report.) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	710	pdf	May 31, 2015
16. Appointed actuary's report on the certification of Regulatory Capital Filing.	720	pdf	February 28, 2015
17. Peer Review - TAAM.	735	pdf	Note 1

Note 1 : 30 days after transmission to the Audit Committee or the Chief Representative in Canada.

**DOCUMENTS TO BE FILED BY INSURERS OF PERSONS
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APPENDIX 1

QUEBEC FUNERAL INSURANCE COMPANIES	Unstructured Return Code	File Format	Due date
1. S-20 Annual Return, duly signed. The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: Duly signed Certification by director's (page 10) - Duly signed independent auditor's report to AMF (page 6) - Duly signed appointed actuary's report (page 9)	-	pdf	February 28, 2015
2. S-20 Annual Return. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel	February 28, 2015
3. Attestation of Compliance of Versions (S-20), duly signed. http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	February 28, 2015
4. Annual report or Audited financial statements presented to members, duly signed. ("Official" version)	200	pdf	February 28, 2015
5. Appointed actuary's report on policy liabilities, including certificate, duly signed.	400	Searchable pdf file	February 28, 2015
6. Amended or new reinsurance agreements.	580	pdf	February 28, 2015

**DOCUMENTS TO BE FILED BY REINSURERS OF PERSONS
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APPENDIX 2

QUEBEC	Unstructured Return Code	File Format	Due date
1. LIFE Annual Return. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel ASCII (.10a)	March 14, 2015
2. LIFE Annual Return, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: <ul style="list-style-type: none"> - Duly signed Certification by director's (page 10,000) - Duly signed Affidavit verifying Annual Return (page 10.005) - Annual corporate information (pages 10,010 à 10,014) - Corporate organization chart (page 10,040) - Statement of cash flows (page 20,050) - Notes to the financial statements (page 20,060) - Duly signed independent auditor's report to AMF covering pages 20.010 through 20.060 and 60.010 (page 20.070) - Duly signed appointed actuary's Certificate (page 20,085) 	-	pdf	March 14, 2015
3. Attestation of Compliance of Versions (LIFE), duly signed . http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	March 14, 2015
4. Classes of insurance, duly signed . (Regulation under the Act respecting insurance) (New)	120	pdf	March 14, 2015 (New)
5. Audited financial statements presented to shareholders or members, duly signed . ("Official" version)	200	Searchable pdf file	March 14, 2015
6. Annual report prepared for shareholders or members as soon as possible. (if applicable)	200	pdf	
7. Financial statements of the insurer, and subsidiaries, affiliates and joint ventures in which the insurer has an ownership interest. (if applicable)	300	pdf	March 14, 2015
8. Appointed actuary's report on policy liabilities, including certificate, duly signed .	400	Searchable pdf file	March 14, 2015
9. Excel file containing certain data in appointed actuary's report on policy liabilities. http://www.lautorite.qc.ca/en/actuary-guide-pro.html	420	Excel	March 14, 2015
10. Peer review - Appointed actuary's report. (if applicable)	440	pdf	Note 1
11. Actuary's review of the method of allocating income and expenses to participating and non-participating business. (section 298.17 of the Act) (if applicable)	450	pdf	March 14, 2015
12. Dynamic Capital Adequacy Testing - DCAT, duly signed . (30 days after transmission to the Board of Directors/Audit Committee or see due date) (The updated instructions will be available on the AMF website at the latest March, 2015)	500	Searchable pdf file	December 31, 2015
13. Excel File containing certain DCAT data. (The Excel file will be available on the AMF website at the latest March, 2015) http://www.lautorite.qc.ca/en/actuary-guide-pro.html	510	Excel	December 31, 2015
14. Peer review - DCAT. (if applicable)	520	pdf	Note 1
15. QFP disclosure Form on Capital Adequacy Requirements guideline - Life and Health Insurance. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/capital-adequacy-requirements-pro.html		Excel ASCII (.qfp)	March 14, 2015
16. QFP disclosure Form on Capital Adequacy Requirements guideline - Life and Health Insurance, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include the independent auditor's report. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/capital-adequacy-requirements-pro.html	-	pdf	March 31, 2015
17. Attestation of Compliance of Versions (QFP), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report.) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	710	pdf	March 31, 2015
Appointed actuary's report on Capital Adequacy Requirements Guideline, duly signed .	720	pdf	March 14, 2015
18. Peer review - QFP. (if applicable)	735	pdf	Note 1
19. Ethics committee report, duly signed .	760	pdf	February 28, 2015 (New)

Note 1 : 30 days after transmission to the Audit Committee.

**DOCUMENTS TO BE FILED BY REINSURERS OF PERSONS
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APPENDIX 2

FEDERAL AND EXTRA-PROVINCIAL (Including Fraternal Benefit Societies)	Unstructured Return Code	File Format	Due date
1. LIFE Annual Return. Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel ASCII (.10a)	March 14, 2015
2. LIFE Annual Return, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: <ul style="list-style-type: none"> - Duly signed Certification by director's (page 10.000) - Duly signed Affidavit verifying Annual Return (page 10.005) - Annual corporate information (pages 10.010 à 10.014) - Corporate organization chart (page 10.040) - Statement of cash flows (page 20.050) - Notes to the financial statements (page 20.060) - Duly signed independent auditor's report to primary regulator covering pages 20.010 20.060 and 60.010 (page 20.070) - Duly signed appointed actuary's Certificate of Opinion (page 20.080) 	-	pdf	March 14, 2015
3. Attestation of Compliance of Versions (LIFE), duly signed . http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	March 14, 2015
4. Classes of insurance, duly signed . (Regulation under the Act respecting insurance) (New)	120	pdf	March 14, 2015 (New)
5. Audited financial statements presented to shareholders or members, duly signed . ("Official" version)	200	Searchable pdf file	March 14, 2015
6. Annual report prepared for shareholders or members as soon as possible. (if applicable)	200	pdf	
7. Appointed actuary's report on policy liabilities, including certificate, duly signed .	400	Searchable pdf file	March 14, 2015
8. Excel file containing certain data in appointed actuary's report on policy liabilities.	420	Excel	March 14, 2015
9. Peer review - Appointed actuary's report.	440	pdf	Note 1
10. Dynamic Capital Adequacy Testing - DCAT, duly signed . (30 days after transmission to the Board of Directors/Audit Committee or see due date)	500	Searchable pdf file	December 31, 2015
11. Excel File containing certain DCAT data. (The Excel file that must be used will be available on the AMF website at the latest March, 2015) http://www.lautorite.qc.ca/en/actuary-guide-pro.html	510	Excel	December 31, 2015
12. Peer review - DCAT.	520	pdf	Note 1
13. OSFI 87, Minimum Continuing Capital and Surplus Requirements - Canadian Life Insurance Companies and Fraternal Benefit Societies (MCCSR). Hyperlink to instructions and updated form: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rl/ic-sa/lic-sav/Pages/87.aspx	-	Excel ASCII (.mcc)	March 14, 2015
14. OSFI 87, Minimum Continuing Capital and Surplus Requirements - Canadian Life Insurance Companies and Fraternal Benefit Societies (MCCSR), duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include the independent auditor's report. Note: Fraternal Benefit Societies must also file a pdf document, according to the above instructions, but need not include the auditor's report.	-	pdf	March 31, 2015
15. Attestation of Compliance of Versions (OSFI-87), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	710	pdf	March 31, 2015
16. Appointed actuary's report on the certification of Regulatory Capital Filing.	720	pdf	March 14, 2015
17. Peer Review - MCCSR.	735	pdf	Note 1

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APPENDIX 2

FOREIGN (Including Fraternal Benefit Societies)	Unstructured Return Code	File Format	Due date
1. LIFE Annual Return, duly signed . The Excel file must contain the duly signed certification by the chief agent in Canada (page 10.002) and the notes to the financial statements, <u>draft version</u> . Hyperlink to instructions and updated form: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	-	Excel ASCII (.20a)	March 14, 2015
2. LIFE Annual Return, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: <ul style="list-style-type: none"> - Duly signed Certification by the chief agent in Canada (page 10.002) - Duly signed Certification by the President/Chief executive officer (page 10.004) - Annual corporate information (pages 10.010 à 10.012) - Corporate organization chart (page 10.040) - Statement of cash flows (page 20.050) - Notes to the financial statements (page 20.060) - Duly signed independent auditor's report to primary regulator covering pages 20.010 20.060 and 60.010 (page 20.070) - Duly signed appointed actuary's Certificate of Opinion (page 20.080) 	-	pdf	May 31, 2015
3. Attestation of Compliance of Versions (LIFE), duly signed . (The Attestation must be dated and signed further to the issue of the auditor's report.) http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	110	pdf	May 31, 2015
4. Classes of insurance, duly signed . (Regulation under the Act respecting insurance) (New)	120	pdf	May 31, 2015 (New)
5. Audited Statutory Financial Statements of the insurer incorporated abroad and operating via a branch in Canada, duly signed . ("Official" version) (Worldwide)	200	pdf	May 31, 2015
6. Annual report prepared for shareholders or members as soon as possible. (if applicable)	200	pdf	
7. Appointed actuary's report on policy liabilities, including certificate, duly signed .	400	Searchable pdf file	March 14, 2015
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11. Excel File containing certain DCAT data. (The Excel file that must be used will be available on the AMF website at the latest March, 2015) http://www.lautorite.qc.ca/en/actuary-guide-pro.html	510	Excel	December 31, 2015
12. Peer review - DCAT.	520	pdf	Note 1
13. OSFI 86, Test of Adequacy of Assets in Canada and Margin Requirements (TAAM) Foreign Life Insurance Companies and Fraternal Benefit Societies. Hyperlink to instructions and updated form: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rlf/ic-sa/lic-sav/Pages/86.aspx	-	Excel ASCII (.taa)	March 14, 2015
14. OSFI 86, Test of Adequacy of Assets in Canada and Margin Requirements (TAAM) Foreign Life Insurance Companies and Fraternal Benefit Societies, duly signed . The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include the independent auditor's report. Note: Fraternal Benefit Societies must also file a pdf document, according to the above instructions, but need not include the auditor's report.	-	pdf	May 31, 2015
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16. Appointed actuary's report on the certification of Regulatory Capital Filing.	720	pdf	March 14, 2015
17. Peer Review - TAAM.	735	pdf	Note 1

Note 1 : 30 days after transmission to the Audit Committee or the Chief Representative in Canada.