

Notice of the Authority relating to filing of interim returns and other documents for the half year ending June 30, 2014 – Damage (“P&C”) insurers licensed in Québec

Under *An Act respecting insurance*, CQLR, c. A-32, (the “Act”), every insurer is required to file with the *Autorité des marchés financiers* (the “AMF” or the “Authority”), in such form as the AMF may determine and within the prescribed time period, the documents and information required under the Act or requested by the AMF pursuant to specific provisions of the Act (the “required or requested documents and information”).

All required or requested documents and information must be filed electronically via the AMF website using the File Transfer Service (“FTS”)¹.

See Appendices 1 and 2 attached to this Notice concerning specific AMF requirements for the filing of June 30, 2014.

A complete set of paper copies of the signed documents must be kept at the insurer’s premises so that they are available for examination by the AMF, if necessary.

Administrative monetary sanctions

We remind you that each insurer is responsible for ensuring that the AMF receives, **within the prescribed time periods**, all required or requested documents and information in all forms as required.

Under section 405.1 of the Act, the AMF may impose an administrative sanction where any of the documents referred to in the Appendices are filed late or are not filed.

The *Notice of the Autorité des marchés financiers related to administrative monetary sanctions imposed for late filing of information or documents (sections 405.1 and following of the Act)*² (the “sanctions framework”) is posted on the AMF website at: <http://www.lautorite.qc.ca/en/right-practice-pro.html>

¹ Decision no. 2012-PDG-0106 (in French only) dated June 5, 2012 and published in the AMF Bulletin of June 7, 2012, vol. 9, no. 23, section 5.6.

² Update published in the AMF Bulletin (in French only) dated June 7, 2012, vol. 9, no. 23, section 5.1.

Electronic submission of financial returns and other documents

The *File Transfer Service (FTS) User Guide* explains the procedure for submitting data via the AMF website. To consult this guide, access the FTS and click on Help.

The *Guide – Specific File Transfer Service (FTS) Requirements (the “guide of specific requirements”)* contains instructions from the *Direction principale de la surveillance des assureurs et du contrôle du droit d'exercice* (Senior Direction, Supervision of Insurers and Control of Right to Practise), such as the appropriate file naming convention.

Please note that the guide of specific requirements does not list the required or requested documents and information and the dates by which they are to be filed.

A revised version of the guide of specific requirements is available on the AMF website at:
<http://www.lautorite.qc.ca/en/financial-returns-pro.html>

Further information

Further information is available by sending an e-mail to: info-divulgations@lautorite.qc.ca

June 12, 2014

DOCUMENTS TO BE FILED BY DAMAGE INSURERS
LICENSED IN QUEBEC
REQUIRED FOR THE HALF YEAR ENDING JUNE 30, 2014

APPENDIX 1

QUEBEC	Unstructured Return Code	File Format	Due date
1. P&C-1 Interim Return, <u>duly signed</u> The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: - Corporate information (pages 10,15 and 10,17)	-	pdf	August 14, 2014
2. P&C-1 Interim Return.	-	Excel ASCII	August 14, 2014
3. Attestation of Compliance of Versions (P&C-1) - Interim return, <u>duly signed</u> http://www.lautorite.qc.ca/en/financial-returns-pro.html	110	pdf	August 14, 2014

FEDERAL AND EXTRA-PROVINCIAL	Unstructured Return Code	File Format	Due date
1. P&C-1 Interim Return, <u>duly signed</u> The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises.	-	pdf	August 14, 2014
2. P&C-1 Interim Return.	-	Excel ASCII	August 14, 2014
3. Attestation of Compliance of Versions (P&C-1) - Interim return, <u>duly signed</u> http://www.lautorite.qc.ca/en/financial-returns-pro.html	110	pdf	August 14, 2014

FOREIGN	Unstructured Return Code	File Format	Due date
1. P&C-2 Interim Return, <u>duly signed</u> The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises.	-	pdf	August 14, 2014
2. P&C-2 Interim Return.	-	Excel ASCII	August 14, 2014
3. Attestation of Compliance of Versions (P&C-2) - Interim return, <u>duly signed</u> http://www.lautorite.qc.ca/en/financial-returns-pro.html	110	pdf	August 14, 2014

**DOCUMENTS TO BE FILED BY DAMAGE REINSURERS
LICENSED IN QUEBEC
REQUIRED FOR THE HALF YEAR ENDING JUNE 30, 2014**

APPENDIX 2

QUEBEC	Unstructured Return Code	File Format	Due date
1. P&C-1 Interim Return, duly <u>signed</u> The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises. It must include, among others, the following documents: - Corporate information (pages 10,15 and 10,17)	-	pdf	August 30, 2014
2. P&C-1 Interim Return.	-	Excel ASCII	August 30, 2014
3. Attestation of Compliance of Versions (P&C-1) - Interim return, <u>duly signed</u> http://www.lautorite.qc.ca/en/financial-returns-pro.html	110	pdf	August 30, 2014

FEDERAL AND EXTRA-PROVINCIAL	Unstructured Return Code	File Format	Due date
1. P&C-1 Interim Return, <u>duly signed</u> The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises.	-	pdf	August 30, 2014
2. P&C-1 Interim Return.	-	Excel ASCII	August 30, 2014
3. Attestation of Compliance of Versions (P&C-1) - Interim return, <u>duly signed</u> http://www.lautorite.qc.ca/en/financial-returns-pro.html	110	pdf	August 30, 2014

FOREIGN	Unstructured Return Code	File Format	Due date
1. P&C-2 Interim Return, <u>duly signed</u> The pdf file must be complete, in printable format, and conform with the "paper" version kept on file at the insurer's premises.	-	pdf	August 30, 2014
2. P&C-2 Interim Return.	-	Excel ASCII	August 30, 2014
3. Attestation of Compliance of Versions (P&C-2) - Interim return, <u>duly signed</u> http://www.lautorite.qc.ca/en/financial-returns-pro.html	110	pdf	August 30, 2014