

Mortgage brokerage

AMF E-SERVICES

If you prefer to submit your application via our on-line service, please go to our website at <u>www.lautorite.qc.ca</u>.

Important

If your application is incomplete, it will take longer to process. In addition, if you do not submit all missing documents by the specified date, your application for examination registration authorization will be cancelled and any fees you have paid will not be refunded.

You must inform the AMF of any change to the information in this application within 5 days of the date on which it occurs.

PART 1 – IDENTIFICATION (in block letters)												
CLIENT INFORMATION												
Client No. (10 digits)												
Ms. 📮 Mr. 📮	Fi	rst nam	e					Last name				
Date of birth // // Language of correspondence: French □ English □					ב							
CONTACT INFORMATION												
Civic No.				Street						Apt.		
City						Province			Postal	code		
Telephone (residence)						Telephone (business)					Ext.	
Cell phone						E-mail						



Mortgage brokerage

PART 2 – STATEMENT

Please answer all of the questions below. Depending on your answers, you may be required to submit additional supporting documents. The forms are available on the AMF website at <u>www.lautorite.qc.ca</u> in the section *Professionals*.

"Since your last declaration" means any declaration you have previously submitted to the AMF as a candidate or certified representative under the *Act respecting the distribution of financial products and services*, CQLR, c. D-9.2 (the "Distribution Act").

If this is your first declaration, please provide a complete history of the facts for each of the questions below.

Important: You must notify the AMF of any change to the information or a document furnished within 5 days of such change or, if it concerns the pursuit of another activity ("outside activity"), within 30 days of such change.

1.	Do you hold a valid representative's certificate from another province?	Yes	🖵 No
+	If so, which province? Number of ye	ars of practice:	
	Sector or sector class:		
2.	 Since your last declaration: have you been convicted of an offence or a criminal act by a Canadian or foreign court or have you been the subject of a civil suit related to your activities as a representative or has a disciplinary sanction been imposed on you by a disciplinary committee or by a body in Québec or in another province or another state that is responsible for supervising and monitoring persons acting as representatives? 	□ Yes	□ No
•	an absolute or conditional discharge under the Criminal Code, RSC 1985 c. C-46. However, you do not need to answer "yes" if you were found not guilty or the charges against you were withdrawn		
ŗ	of Guilt form.		
3.	Are you in default of paying any outstanding fines, costs and interest imposed by a disciplinary committee or by the Court of Québec sitting in appeal of a decision of such a committee, or are you in default of paying fines for an offence committed under any of the following: the <i>Act respecting the distribution of financial</i> <i>products and services</i> , CQLR, c. D-9.2; the <i>Real Estate Brokerage</i>	□ Yes	□ No

DQual_autorisation-examens-CH_January 2024



Mortgage	brokerage
mongage	biolicitage

	Act, CQLR, c. C-73.2; the Securities Act, CQLR, c. V-1.1, or the Professional Code, CQLR, c. C-26?		
+	If you answered yes , please attach details to your application.		
4.	Since your last declaration, has your certificate or right to practise been suspended, cancelled, revoked or subject to any restrictions or conditions, or have you been sanctioned by a disciplinary committee or by a body in Québec or in another province or jurisdiction that is responsible for supervising and monitoring persons acting as representatives in a sector or a category governed by the <i>Act respecting the distribution of financial</i> <i>products and services</i> , CQLR, c. D-9.2, the <i>Real Estate Brokerage</i> <i>Act</i> , CQLR, c. C-73.2, or the <i>Securities Act</i> , CQLR, c. V-1.1?	Yes	□ No
	You do not need to answer "yes" to this question if the decision was issued by the AMF, as the AMF already has this information on file?		
+	Decision No.: Date:		
+	Decision maker's name:Sector or sector class:		
5.	Since your last declaration, have you filed for bankruptcy, made an assignment of your property or been placed under a receiving order pursuant to the <i>Bankruptcy and Insolvency Act</i> , RSC 1985, c. B-3?	Yes	No
	You do not have to answer "yes" to this question where a proposal was accepted by the creditors and the court.		
+	If you answered yes, please complete and submit the Statement of Bankruptcy	/ form.	
6.	Are you under protective supervision in the form of a tutorship, curatorship or adviser?	Yes	🖵 No
	Protective supervision is a mechanism provided for by law to protect persons who are under a legal disability. A supervisor is not considered a tutor, curator or adviser.		
+	If you answered yes , please attach details to your application.		
7.	Since your last declaration, have you been a director, officer or partner of a firm or partnership whose registration was cancelled under the <i>Act respecting the distribution of financial products and services</i> , CQLR, c. D-9.2, the <i>Real Estate Brokerage Act</i> , CQLR, c. C-73.2, or the <i>Securities Act</i> , CQLR, c. V-1.1?	Yes	No
+	If you answered yes , please attach details to your application		



Mortgage brokerage

PART 3 – PURPOSE OF THE APPLICATION

Please indicate the purpose of your application.

- Authorization to register for examinations for a first certificate
- Reinstatement of certificate

PART 4 – CAREER ENTRY REQUIREMENTS

Note

The training required as minimum qualifications is valid for two years from the date it was successfully completed or, if this training has been recognized by the Organisme d'autoréglementation du courtage immobilier du Québec (OACIQ), until April 30, 2022. If this training is no longer valid, you must apply again for a training program recognized by the AMF. After you have successfully completed the program, you will have to reapply for authorization to register for the examinations.

PART 5 – VALID CANADIAN PROOF OF IDENTITY

Note

If you provided proof of identity with a previous application, it is not necessary to do so again. Not providing one of the identity documents listed below will result in a delay in the processing of your application.

The AMF may determine that one or more additional proofs of identity are required. **Note that a driver's licence** or health insurance card is not considered valid proof of identity.

Check and attach to this form one of the valid Canadian identity documents below:

- Birth certificate issued by the *Directeur de l'état civil* of Quebec or another provincial or territorial authority
- Citizenship card or certificate
- Confirmation of Permanent Residence (IMM5292 or IMM5688)
- Passport
- Permanent Resident Card
- Work permit



Mortgage brokerage

PART 6 – CANDIDATE'S STATEMENT CONCERNING AMF EXAMINATIONS

By registering for AMF examinations, I acknowledge that:

- a) the mission of the AMF is to protect consumers;
- b) the evaluation of competencies is one means by which the AMF fulfills its mission;
- c) AMF exams are intended to attest to the essential competencies of future professionals and help ensure the protection of consumers;
- d) an evaluation of candidates' competencies must be conducted in a fair and equitable manner;
- e) the exams are the property of the AMF and it is the sole holder of the copyrights to these examinations.

Consequently, I understand that the actions below infringe the copyrights of the AMF and its ability to fulfill its mission.

Therefore, I undertake not to:

- 1. copy, in whole or in part, any of the examination questions;
- 2. disclose in any manner whatsoever any information related to the examination questions.

In making this statement, I understand that compliance with this undertaking will be taken into consideration during the evaluation of my file at the time of the issuance and renewal of my representative's certificate.

This statement shall extend beyond the date when the certificate that I have applied for has been issued.

I declare that I have read this statement and I agree with the terms hereof.

Signature	Date:	year	_/ month	_ / da	ay
PART 7 – DECLARATION					

I declare that the information provided in this form is accurate and complete. I declare that I use my name as it appears on all my valid Canadian identity documents. I have attached all supporting documents required to process my application.

Signature

Date:	Date:			
	year	month		day

Rights of access and correction

You may examine the personal information concerning you, obtain a copy of it or request that it be corrected if it is inaccurate, incomplete or equivocal, or if the collection, release or keeping of the information is not authorized under the *Act respecting Access to documents held by public bodies and the Protection of personal information*, CQLR, c.A-2.1. If you have any questions on this topic, please visit the AMF website at Information Access / AMF (l'autorite.gc.ca).



Mortgage brokerage

Please do not delete this page when printing the form.

This page was left blank intentionally because **Part 8 - Fees payable and payment** must be printed on a single sheet of paper with no information on the reverse side.

DQual_autorisation-examens-CH_January 2024



Mortgage brokerage

PART 8 – FEES PAYABLE AND PAYMENT							
CLIENT INFO	RMATION						
Client No. (10 digits)							
Ms. 📮 H Mr. 📮	First name		Last name				
Name of firm							
		E PERIOD FROM JANUARY 1, 2 non-refundable.)	024 TO DECEMBE	ER 31, 2024			
File study fee:		☑ \$85.00	If paying by credit card, please carry the amount over to the space below marked with an *. If the amount shown greater than the amount due, we reserve the right to correct this amount and adjust it downwards.				
METHOD OF	PAYMENT						
 Cheque Money order 		Please make your payment to the order of the Autorité des marchés financiers and date it on the day you send your form.					
 Visa Mastercard American Express 		I authorize the AMF to charge the Card No.:/ Expiry date:/		to my credit card.			
		Name of cardholder (in block letters) Signature of cardholder		_ Date: / / _ year month day			

The AMF only accepts forms sent by mail. **Forms sent by e-mail or fax will not be accepted.** Send your form and payment to: **Autorité des marchés financiers** Place de la Cité, tour Cominar 2640, boulevard Laurier, bureau 400 Québec (Québec) G1V 5C1

DQual_autorisation-examens-CH_January 2024