

**AMF E-Services**

If you prefer to submit your application via our on-line service,  
please go to our website at <http://www.lautorite.qc.ca/en/e-services.html>.

Representatives can use this form to check that they meet the supervisor criteria. The required qualifications are set out in the [Regulation respecting the issuance and renewal of representatives' certificates](#).

*Important*

*The result of the review is valid only at the time of analysis. The Autorité des marchés financiers (AMF) will review your situation at the time of each application for a probationary period. You may submit an application for a sector or sector class, even if that sector or sector class is inactive, provided that it has been inactive for less than 12 months. In this case, your qualification as a supervisor will be conditional on the reinstatement of your right to practise (s. 44 of the Regulation respecting the issuance and renewal of representatives' certificates).*

**PART 1 – IDENTIFICATION (in block letters)**

**INFORMATION ABOUT FUTURE SUPERVISOR**

Client No. (10 digits)					
Ms. <input type="checkbox"/>	First name		Last name		
Mr. <input type="checkbox"/>					
Date of birth	____ / ____ / ____	Language of correspondence: French <input type="checkbox"/> English <input type="checkbox"/>			
	year month day				

**MAILING ADDRESS**

Civic No.		Street		Apt.	
Municipality			Province		
Telephone (residence)			Telephone (business)		
Cell phone			E-mail		

**PART 2 – CHOICE OF SECTOR OR SECTOR CLASS**

- Insurance of persons
  - Accident and sickness insurance
- Group insurance of persons (insurance and annuities)
  - Group insurance plans
  - Group annuity plans
- Damage insurance (personal-lines and commercial-lines)
  - Personal-lines damage insurance
  - Commercial-lines damage insurance
- Claims adjustment (personal-lines and commercial-lines)
  - Claims adjustment for personal-lines damage insurance
  - Claims adjustment for commercial-lines damage insurance
- Mortgage brokerage

### PART 3 – STATEMENT

Use this section to submit a statement in connection with your Application for Analysis of Future Supervisor's File.

1. In the five years preceding this application, were you the subject of a disciplinary sanction imposed by a disciplinary committee established under the *Act respecting the distribution of financial products and services, CQLR, c. D-9.2* or the *Real Estate Brokerage Act, CQLR, c. C-73.2* or by the Court of Québec sitting in appeal of a decision issued by such a committee?  Yes  No

- ➔ If you answered **yes**, please specify the type of sanction, the issuing committee and the decision number, if any.

Decision No.: \_\_\_\_\_

Committee and details: \_\_\_\_\_

2. In the five years preceding this application, were you struck off the roll by a disciplinary committee of a professional order?  Yes  No

- ➔ If you answered **yes**, please provide details and the decision number, if any.

Decision No. \_\_\_\_\_

Details: \_\_\_\_\_

3. Does your certificate carry conditions or restrictions imposed under section 218, 219 or 220 of the *Act respecting the distribution of financial products and services* affecting your ability to act as a supervisor?  Yes  No

- ➔ If you answered **yes**, please provide details.

Details: \_\_\_\_\_

- Did you hold a representative's certificate authorizing you to practice in the mortgage brokerage sector or a licence issued by the l'Organisme de d'autoréglementation du courtage immobilier du Québec during 24 of the 36 months preceding the probationary period?  Yes  No

- ➔ If you answered **yes**, did you carry out mortgage brokerage activities during this period?  Yes  No

### PART 4 – DECLARATION

I declare that the information provided in this form is accurate and complete. I have attached all supporting documents required to process my application.

\_\_\_\_\_  
Signature

Date: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
year month day

