

APPLICATION FOR AUTHORIZATION

Special broker

Before completing this form, please read the following carefully:

This form is for firms that wish to register a special broker. Only a damage insurance broker acting for a firm may be a special broker. A special broker may offer the products of an outside insurer where justified by market scarcity. Market scarcity means that at least three insurers holding a licence in Québec have refused to issue an insurance policy for a given risk.

| SECTION 1 – IDENTIFICATION | | | | | | | | | | | |
|--|---------|--------|------------|------------------|---------|------------------|------|--------|--------|-----------------|--|
| INFORMATION ABOUT THE FIRM APPLYING FOR AUTHORIZATION | | | | | | | | | | | |
| Client No. (10 digits) | | | | | | NEQ (10 digit | s) | | | | |
| Name of firm | | | | | | | | | | | |
| INFORMATIO (damage insu | | | HE BROK | (ER APF | LYING F | OR AUT | HORI | ZATION | | | |
| Client No. (10 digits) | | | | | | | | | | | |
| Mr. G | rst nan | ne | | | | | Last | name | | | |
| Date of birth | year | / | onth da | ay . | | | | | | | |
| INFORMATION ABOUT DAMAGE INSURERS (insurers holding licences in Québec and whose services the firm is authorized to offer and whose products the firm is authorized to sell) | | | | | | | | | | | |
| NAME AND BUS | INESS A | ADDRES | SS OF INSU | JRER N O. | 1 | | | | | | |
| Name of insur | er | | | | | | | | | | |
| Civic No./ P.O. Box | | | s | treet | | | | | | Suite / Unit | |
| Municipality | | | | | | Provin | се | | Postal | code | |
| NAME AND BUSINESS ADDRESS OF INSURER NO. 2 | | | | | | | | | | | |
| Name of insur | er | | | | | | | | | | |
| Civic No./ P.O. Box | | | s | treet | | | | | | Suite / Unit | |
| Municipality | | | | | | Provin | се | | Postal | code | |
| NAME AND BUSINESS ADDRESS OF INSURER NO. 3 | | | | | | | | | | | |
| Name of insur | er | | | | | | | | | | |
| Civic No./ P.O. Box | | | S | treet | | | | | | Suite / Unit | |
| Municipality | | | | | | Provin | ce | | Postal | code | |

Information Centre

Toll-free: 1-877-525-0337 Québec City: 418-525-0337 Montréal: 514-395-0337 DCI_courtier-special-A_January 2020

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| SECTION 2 – REQUIRED SUPPORTING DOCUMENTS | | | | | |
|---|--|--|--|--|--|
| APPLICATION FOR A REPRESENTATIVE'S CERTIFICATE | | | | | |
| | SUPPORTING DOCUMENTS | | | | |
| Application for authorization – Special broker Two documents required | □ Latest financial statements signed by 2 directors □ Copy of security in the amount of \$100,000 | | | | |

SECTION 3 – FEES PAYABLE FOR THE PERIOD FROM JANUARY 1, 2020 TO DECEMBER 31, 2020

File study fee \$53

| TOTAL | \$53 |
|-------|------|
|-------|------|

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| PAYMENT SLIP | |
|---------------------------------------|--|
| CLIENT INFORMATION | |
| Client No. (10 digits) | NEQ (10 digits) |
| Name of business | |
| FEES PAYABLE (fees a | re non-refundable) |
| Amount due: \$53 | |
| METHOD OF PAYMENT | |
| ☐ Cheque☐ Money order | Payment must be made to the order of the Autorité des marchés financiers and must be dated the day you mail this form. |
| ☐ Visa☐ MasterCard☐ Amarican Function | I authorize the AMF to charge the amount of \$ to my credit card. |
| American Express | Card No.://// |
| | Expiry date: / month year |
| | Name of cardholder (in block letters) |
| | Signature of cardholder Date: / / day |

The AMF accepts forms sent by regular mail only.

Forms sent by e-mail or fax will not be accepted.

Send your application form and supporting documents along with your payment to the following address:

Autorité des marchés financiers Place de la Cité, tour Cominar 2640, boulevard Laurier, bureau 400 Québec (Québec) G1V 5C1

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Montréal: 514-395-0337