

AMF E-Services

If you prefer to submit your disclosure via our on-line service,
please go to our website

www.lautorite.qc.ca

Complete this form to pay the fees payable under section 271.5 of the Securities Regulation.

Please note that failure to file this form, duly completed, and to pay the required fees by the due date constitutes an offence liable to administrative penalties.

SECTION 1 – IDENTIFICATION

INFORMATION ABOUT THE FIRM

Client No. (10 digits)			
Name of firm			
MAIN ADDRESS (if modified)			
Civic No		Suite / Apt. / Unit	
Street / Delivery Installation			
Municipality		Province / State	
Country		Postal code / Zip code	

SECTION 2 – DISCLOSURE

YEAR-END DATE

Year-end date	<div> <div></div> <div>/</div> <div></div> <div>/</div> <div></div> </div> <div> <div>month</div> <div>day</div> <div>year</div> </div>
---------------	---

Information center

Toll-free : 1 877 525-0337
 Québec City: 418 525-0337
 Montréal : 514 395-0337

annex-dr-variables_an

CALCULATION OF DISTRIBUTION OF BUSINESS CONDUCTED IN QUÉBEC AND ELSEWHERE

Question #1

Does your firm only have one or more establishments in Québec?

Yes No

If you answered “Yes”, complete grid A below.
Otherwise, go to question #2.

GRID A - CALCULATION OF ANNUAL FEES

Total capital¹

Distribution of business conducted
in Québec and elsewhere

X

100 %

X 0,14% =

Fee collected by the National Registration Database (NRD) on December 31,

Amount payable

¹ The total capital represents the sum of the amount shown in line 73 of Statement A (Total Capital) and line 3 of Statement B (Subordinated loans) of the Form 1 adopted by self-regulatory organizations.

If you answered "Yes" to Question #1, you do not have to answer Question #2.
Go directly to Section 3 of the form.

Question #2

In the past year, has your firm paid salaries or wages in Québec?

Yes

No

If you answered "Yes", complete grid B below.
Otherwise, go to Grid C.

GRID B - CALCULATION OF DISTRIBUTION OF BUSINESS CONDUCTED IN QUÉBEC AND ELSEWHERE

Salaries and wages paid in Québec

Revenue earned in Québec²

Distribution of business
conducted in Québec and
elsewhere

+

=

Total salaries and wages

Total revenue³

2

CALCULATION OF ANNUAL FEES

Total capital¹

Distribution of business conducted
in Québec and elsewhere

X

X 0,14% =

Fee collected by the National Registration Database (NRD) on December 31,

Amount payable

¹ The total capital represents the sum of the amount shown in line 73 of Statement A (Total Capital) and line 3 of Statement B (Subordinated loans) of the Form 1 adopted by self-regulatory organizations.

² In regards of the revenue earned in Québec, include all sales to investors in the province. A sale made in Québec is defined as a sale from the outside of the province with a Québec client or a sale made in Québec with a Québec client.

³ Total revenue does not include the interest on a bond, debenture or obligation secured by mortgage, dividends, or rentals or royalties from property that is not used in connection with the corporation's principal activity.

GRID C - CALCULATION OF DISTRIBUTION OF BUSINESS CONDUCTED IN QUÉBEC AND ELSEWHERE

Revenue earned in Québec ²			Total revenue ³		Distribution of business conducted in Québec and elsewhere
<input type="text"/>	/	<input type="text"/>	=		

CALCULATION OF ANNUAL FEES

Total capital ¹		Distribution of business conducted in Québec and elsewhere		
<input type="text"/>	X		X 0,14%	=

Fee collected by the National Registration Database (NRD) on December 31,

Amount payable

¹ The total capital represents the sum of the amount shown in line 73 of Statement A (Total Capital) and line 3 of Statement B (Subordinated loans) of the Form 1 adopted by self-regulatory organizations.

² In regards of the revenue earned in Québec, include all sales to investors in the province. A sale made in Québec is defined as a sale from the outside of the province with a Québec client or a sale made in Québec with a Québec client.

³ Total revenue does not include the interest on a bond, debenture or obligation secured by mortgage, dividends, or rentals or royalties from property that is not used in connection with the corporation's principal activity.

SECTION 3 – DECLARATION

I declare that the information provided in this form is accurate and complete. I have attached all the supporting documents required to process my disclosure.

Mr. <input type="checkbox"/>	First name		Last name	
Ms. <input type="checkbox"/>				
Signature				Date <div> <div>_____ / _____ / _____</div> <div>month day year</div> </div>