

**AMF E-Services**

If you prefer to submit your disclosure via our on-line service,  
please go to our website

[www.lautorite.qc.ca](http://www.lautorite.qc.ca)

Complete this form to pay the fees payable under section 271.5 of the Securities Regulation.

Please note that failure to file this form, duly completed, and to pay the required fees by the due date constitutes an offence liable to administrative penalties.

**SECTION 1 – IDENTIFICATION**

**INFORMATION ABOUT THE FIRM**

Client No. (10 digits)

Name of firm

**MAIN ADDRESS (if modified)**

Civic No

Suite / Apt. / Unit

Street / Delivery  
Installation

Municipality

Province / State

Country

Postal code / Zip code

**SECTION 2 – DISCLOSURE**

**YEAR-END DATE**

Year-end date

\_\_\_\_ / \_\_\_\_ / \_\_\_\_  
month    day    year

**Information center**

Toll-free : 1 877 525-0337

Québec City: 418 525-0337

Montréal : 514 395-0337

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Web site : [www.lautorite.qc.ca](http://www.lautorite.qc.ca)

**CALCULATION OF DISTRIBUTION OF BUSINESS CONDUCTED IN QUÉBEC AND ELSEWHERE**

**Question #1**

Does your firm only have one or more establishments in Québec?	Yes	No
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If you answered “Yes”, complete grid A below.  
Otherwise, go to question #2.

**GRID A - CALCULATION OF ANNUAL FEES**

Total capital<sup>1</sup>

Distribution of business conducted  
in Québec and elsewhere

<input type="text"/>	X	100 %	X 0,14%	=
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Fee collected by the National Registration Database (NRD) on December, 31

**Amount payable**

<sup>1</sup> The total capital represents the sum of the amount shown in line 73 of Statement A (Total Capital) and line 3 of Statement B (Subordinated loans) of the Form 1 adopted by self-regulatory organizations.

If you answered "Yes" to Question #1, you do not have to answer Question #2.  
Go directly to Section 3 of the form.

Question #2		
In the past year, has your firm paid salaries or wages in Québec?	Yes	No
If you answered "Yes", complete grid B below. Otherwise, go to Grid C.		

**GRID B - CALCULATION OF DISTRIBUTION OF BUSINESS CONDUCTED IN QUÉBEC AND ELSEWHERE**

Salaries and wages paid in Québec		Revenue earned in Québec <sup>2</sup>		Distribution of business conducted in Québec and elsewhere
	+		=	
Total salaries and wages		Total revenue <sup>3</sup>		
2				

**CALCULATION OF ANNUAL FEES**

Total capital <sup>1</sup>		Distribution of business conducted in Québec and elsewhere		Fee collected by the National Registration Database (NRD) on December, 31
	X		X 0,14%	=
<hr style="width: 100%;"/> <p style="text-align: right; margin: 0;"><b>Amount payable</b></p>				

<sup>1</sup> The total capital represents the sum of the amount shown in line 73 of Statement A (Total Capital) and line 3 of Statement B (Subordinated loans) of the Form 1 adopted by self-regulatory organizations.

<sup>2</sup> In regards of the revenue earned in Québec, include all sales to investors in the province. A sale made in Québec is defined as a sale from the outside of the province with a Québec client or a sale made in Québec with a Québec client.

<sup>3</sup> Total revenue does not include the interest on a bond, debenture or obligation secured by mortgage, dividends, or rentals or royalties from property that is not used in connection with the corporation's principal activity.

**GRID C - CALCULATION OF DISTRIBUTION OF BUSINESS CONDUCTED IN QUÉBEC AND ELSEWHERE**

Revenue earned in Québec <sup>2</sup>		/	Total revenue <sup>3</sup>		=	
<input style="width: 100%;" type="text"/>			<input style="width: 100%;" type="text"/>			Distribution of business conducted in Québec and elsewhere

**CALCULATION OF ANNUAL FEES**

Total capital <sup>1</sup>		X	Distribution of business conducted in Québec and elsewhere		X 0,14%	=
<input style="width: 100%;" type="text"/>						

Fee collected by the National Registration Database (NRD) on December, 31

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**Amount payable**

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<sup>1</sup> The total capital represents the sum of the amount shown in line 73 of Statement A (Total Capital) and line 3 of Statement B (Subordinated loans) of the Form 1 adopted by self-regulatory organizations.

<sup>2</sup> In regards of the revenue earned in Québec, include all sales to investors in the province. A sale made in Québec is defined as a sale from the outside of the province with a Québec client or a sale made in Québec with a Québec client.

<sup>3</sup> Total revenue does not include the interest on a bond, debenture or obligation secured by mortgage, dividends, or rentals or royalties from property that is not used in connection with the corporation's principal activity.

**SECTION 3 – DECLARATION**

I declare that the information provided in this form is accurate and complete. I have attached all the supporting documents required to process my disclosure.

Mr. <input type="checkbox"/>	First name		Last name		
Ms. <input type="checkbox"/>					
Signature				Date	____ / ____ / ____ month      day      year