

SCHEDULE – DECLARATION RELATING TO RESPONSIBLE OFFICERS IN MORTGAGE BROKERAGE

This declaration must be completed, signed and dated only if you are applying to register in the mortgage brokerage sector.

The term “responsible officer” used in this form refers to the responsible officer of the legal person’s principal establishment or to the responsible partner of the partnership’s principal establishment.

Information about the firm or independent partnership (the “registrant”)

Client No. (10 digits)		NEQ (10 digits)	
Name			

Information about the responsible officer

Mr. Ms.	First name	Last name
Client No. (10 digits)		Date of birth

Declaration

The legal person or partnership declares that the responsible officer satisfies the following conditions:

a) has a valid certificate in the mortgage brokerage sector.	Yes	No
b) satisfies one of the following conditions: <ul style="list-style-type: none"> • passed, in the 2 years preceding this application for registration, the examinations pertaining to the skills that must be possessed by a responsible officer in the mortgage brokerage sector; • acted, in the 2 years preceding this application for registration, as a responsible officer in the mortgage brokerage sector; • was registered, in the 2 years preceding this application for registration, as an independent representative in the mortgage brokerage sector. 	Yes	No
c) complies with the compulsory professional development requirements applicable to a responsible officer in the mortgage brokerage sector.	Yes	No

Declaration on information provided (responsible officer or partner / authorized signatory)

I declare that the information provided in this schedule is accurate and complete.

Mr. Ms.	First name		Last name	
Signature			Date	