

Before completing this form, please read the following carefully:

This form must be completed by insurers to notify the Autorité des marchés financiers ("AMF") of claims received in connection with professional liability (errors and omissions) insurance. **Please complete one form per claim.**

If no claims were received, insurers must complete the Absence of claims form.

This obligation is set out in section 17 of the *Regulation respecting the pursuit of activities as a representative*, CQLR, c. D-9.2, r.10, and section 29 of the *Regulation respecting firms, independent representatives and independent partnerships*, CQLR, c. D-9.2, r. 2.

You are reminded that, in Québec, private enterprises are subject to the obligations set out in the *Act respecting the protection of personal information in the private sector*, CQLR, c. P-39.1, which is administered by the Commission d'accès à l'information.

PART 1 – INFORMATION												
Name of ins												
Name of insurer												
Person handling the		e claim										
Policy No.					Master contract (if applicable)	No.						
Claim No.						D	ate of claim	year	/ month	/ day	 y	
Amount of claim												
Reason for claim												

PART 2 – DECLARATION REGARDING THE INFORMATION PROVIDED

 I declare that the information provided in this form is accurate and complete.

 Mr.
 Image: Signature

 Signature
 Date

The AMF only accepts forms sent **by mail** or submitted through **AMF E-Services**. Forms sent by e-mail or fax will **not** be accepted.

Please send your form to:

Autorité des marchés financiers Place de la Cité, tour Cominar

2640, boulevard Laurier, bureau 400 Québec (Québec) G1V 5C1

Conformité_avis_réclamation_septembre 2023