

Application for representatives who engage primarily in the supervision of trainees

Important

A representative who acts as a supervisor may have five trainees under his responsibility at any time.

However, a representative who engages primarily in the supervision of trainees may, if he is designated as such by the employer, have up to 10 trainees under his responsibility at any time.

The representative who engages primarily in the supervision of trainees must meet the eligibility requirements to become a supervisor, including ensuring that they have the time, qualities, and skills necessary to adequately mentor an intern. He must fulfill the same duties and responsibilities as a regular supervisor.

Instructions

The representatives designated in this application must be affiliated with your firm.

If you wish to appoint more than 14 representatives whose primary role is supervision, please print and attach an additional copy of page 3 of the form to your application.

AMF E-Services

Please submit your application using our on-line service, accessible from the AMF website at www.lautorite.qc.ca.

Part 1 – Employer identification (in block letters)

Employer's name

Client No.
(10 digits)

Registration No.

Mailing address

Civic No.

Street

Suite

Municipality

Province

Postal code

Part 2 – Designation of representatives who engage primarily in the supervision of trainees**Designate all representatives whom you employ and who engage primarily in the supervision of trainees.**

Name of representative 1

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)

Name of representative 2

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)

Name of representative 3

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)

Name of representative 4

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Information Centre**

Toll free : 1-877-525-0337

Québec city : 418-525-0337

Montréal : 514-395-0337

Name of representative 5First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Name of representative 6**First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Name of representative 7**First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Name of representative 8**First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Name of representative 9**First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Name of representative 10**First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Name of representative 11**First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Information Centre**

Toll free : 1-877-525-0337

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Montréal : 514-395-0337

Name of representative 12

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)

Name of representative 13

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)

Name of representative 14

First
name(s)Last
name(s)Client No.
(10 digits)Certificate No.
(6 digits)**Part 3 – Declaration of employer**

I declare that the information provided in this form is accurate and complete; and

I declare that the representatives who engage primarily in the supervision of trainees designated in part 2 of this form meet the eligibility requirements to serve as supervisors, including having the time, qualities, and skills necessary to adequately supervise up to 10 trainees.

Name (in block letters) of responsible
officer or authorized signatory

Signature

Date

Please submit your application in **AMF E-Services**, accessible from the AMF website at www.lautorite.qc.ca.

Once logged-in, select "Other" in the AMF E-Services main menu, choose "Other application/request," then select "Other application/request – Qualification."

If you are unable to use AMF E-Services, please mail your application to:

Autorité des marchés financiers
Place de la Cité, tour PwC
2640, boulevard Laurier, bureau 400
Québec (Québec) G1V 5C1