

September 28, 2016

BY ELECTRONIC MAIL: comments@osc.gov.on.ca & consultation-en-cours@lautorite.qc.ca

Ms. Josée Turcotte, Secretary Ontario Securities Commission 20 Queen Street West, 22nd Floor Toronto, Ontario M5H 3S8

Me Anne-Marie Beaudoin, Corporate Secretary Autorité des marchés financiers 800, rue du Square-Victoria, 22e étage C.P. 246, tour de la Bourse Montréal, Québec H4Z 1G3

Autorité des marchés financiers Commission des services financiers et des services aux consommateurs (Nouveau-Brunswick)

RE: CANADIAN SECURITIES ADMINISTRATORS CONSULTATION PAPER 33-404 PROPOSALS TO ENHANCE THE OBLIGATIONS OF ADVISERS, DEALERS, AND REPRESENTATIVES TOWARD THEIR CLIENTS

Our firm, Acadia Financial Services Inc., would like to thank you for the opportunity to comment on the Canadian Securities Administrators Consultation Paper 33-404 Proposals to Enhance the Obligations of Advisors, Dealers, and Representatives Toward Clients. Our firm is writing to provide comment that we support and agree with the comment letter (copy enclosed) submitted to you by the Association of Canadian Compliance Professionals on September 28, 2016 with respect to the above captioned Consultation Paper.

Thank you for the opportunity to provide our comments. Please contact me with any questions you may have.

Regards,

Joanne Hébert Chief Compliance Officer

Encl. (1)

c.c. Mr. David Losier, General Manager and UDP