

Sir, Madame

I wish to register my complaint at the huge “conflict of interest” that is present in the Advisor – Client relationship that exists within the Cdn. Securities Industry, particularly as it relates to the sale of Mutual Funds. Tragically this has existed for years, has been brought to the attention of Securities regulators (CSA) and today continues with negligible changes due to the powerful Bank lobby groups that block efforts to implement change. Additionally, the use of the term “Financial Advisor....Sr. Financial Advisor” is unregulated allowing totally unqualified, incompetent individuals to provide so called financial advice to the unaware public, frequently with disastrous results, to the enrichment of the advisor (and his firm).

Sincerely,

Flavelle Barrett