
De : CSA ACVM Secretariat
Envoyé : 2012-11-29 15:30
Cc : Consultation-en-cours
Objet : RE: fiduciary duty

Ms. Woods,

Thank you for contacting the CSA Secretariat and sharing your views.

As requested in the CSA's Consultation Paper 33-403 *The Standard of Conduct for Advisers and Dealers: Exploring the Appropriateness of Introducing a Statutory Best Interest Duty when Advice is Provided to Retail Clients*, we are forwarding your comments to the appropriate resources.

Sincerely,

CSA Secretariat

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De : Valerie Woods
Envoyé : 29 novembre 2012 14:03
À : CSA ACVM Secretariat
Objet : fiduciary duty

Good Afternoon!

Refer: The Globe and Mail Thursday November 29, 2012 "It's time we made financial advisers live up to that title".

BRAVO! Yes, there certainly SHOULD be a fiduciary duty to a client(s).

From my personal experience, the people holding someone else's securities do NOT care about what the client wants only what the group of client's investments will product for the institution holding the securities.

PLEASE put these financial institutions under strict regulations re client's wants.

Sincerely
