

3) Establishment of a "Standard of Care" for dealers and investment advisors that is monitored, rated and publicly posted along with compliance history of individuals and firms.

4) Establishment of 'Store Front' ombudsman that promotes transparency and acts as protector/advisor to retail investors looking for information, financial literacy, guidance and assistance for securing counsel for compliance and restitution.

Daniel A Braniff, Retail Investor

Founding President Bell Pensioners Group, BPG (Confederation Life Liquidation)

Founder/ Chair Common Front for Pension Splitting, CFPS (Advocacy
representing 3.5 million

Founder/Chair Common Front for Retirement Security, CFRS (Advocacy
representing 2.5 million