

Draft Regulation

Derivatives Act
(S.Q. 2008, c. 24, s. 174, subpars. (3) and (5))

Regulation respecting Tariffs and Fees Payable

Notice is hereby given by the *Autorité des marchés financiers* (the "Authority") that the *Regulation respecting Tariffs and Fees Payable*, the text of which is published below, may not be made by the Authority before August 5, 2008 and will subsequently be submitted to the Government for approval, with or without amendment.

Purpose of draft Regulation

The draft Regulation, which is made under section 174 of the *Derivatives Act*, S.Q. 2008, c. 24 (the "Act"), sets the fees payable by a regulated entity, dealer, adviser, representative or qualified person at the time of an application for recognition, registration or qualification respectively.

As well, the draft Regulation sets fees payable at the time of an application for exemption or the filing of a notice under the Act or a regulation. In particular, it pertains to the filing of a notice relating to the acquisition of a dealer's or adviser's securities or assets prescribed by *Regulation 31-103 respecting Registration Requirements*, which is proposed under the *Securities Act*, R.S.Q., c. V-1.1. Draft Regulation 31-103 was published in the Bulletin of the Authority on February 29, 2008. The text is also available on the website of the Authority.

Finally, the draft *Regulation respecting Tariffs and Fees Payable* determines tariffs for the costs incurred by the Authority in connection with inspections or investigations as well as the administration of Title II of the Act in respect of regulated entities.

The tariffs and fees set out in the draft Regulation are comparable to those payable for other activities governed by the Authority.

Request for comment

Comments regarding the draft Regulation published in this Bulletin may be made in writing by **August 4, 2008** to:

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The draft *Regulation respecting Tariffs and Fees Payable* will also be the subject of another public consultation over a 45-day period as part of the process for approval of the Regulation by the Government. In this regard, the draft Regulation along with the name of the person to whom comments may be transmitted will be published in the *Gazette officielle du Québec* in accordance with section 10 of the *Regulations Act*, R.S.Q., c. R-18.1.

Further information

Further information is available from:

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