

Draft Regulation

Derivatives Act

(2008, c. 24, s. 175, par. 1, subpars. (1), (2), (3), (11), (12), (13), (18) and (29); 2009, c. 25, s. 123)

Regulation to amend the Derivatives Regulation

Notice is hereby given by the *Autorité des marchés financiers* (the "Authority") that, in accordance with section 175 of the *Derivatives Act* (2008, c. 24), the following Regulation, the text of which is published hereunder, may be made by the Authority and subsequently submitted to the Minister of Finance for approval, with or without amendment, after 90 days have elapsed since its publication in the Bulletin of the Authority:

- *Regulation to amend the Derivatives Regulation.*

Request for comment

Comments regarding the above may be made in writing before **January 7, 2010**, to the following:

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Further information

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October 9, 2009

REGULATION TO AMEND THE DERIVATIVES REGULATION*

Derivatives Act

(S.Q. 2008, c. 24, s. 175, par. 1, subpars. (1), (2), (3), (11), (12), (13), (18) and (29);
2009, c. 25, s. 123)

1. The Derivatives Regulation is amended by adding the following after Division II.1:

"DIVISION II.2

"CLIENT BROKERAGE COMMISSIONS

"**11.22.** Regulation 23-102 respecting Use of Client Brokerage Commissions ("Regulation 23-102") approved by Ministerial Order (*indicate the number and date of the Ministerial Order that approved the Regulation*) applies, with the necessary modifications, to the persons contemplated in Title III of the Act."

2. This Regulation comes into force on the date of the coming into force of Regulation 23-102.

* The Derivatives Regulation, which was approved by Ministerial Order No. 2009-01 dated January 15, 2009 (2009, *G.O.* 2, 33A), was amended by the Regulation to amend the Derivatives Regulation, which was approved by Ministerial Order No. 2009-07 dated September 9, 2009 (2009, *G.O.* 2, 3690A).