

6.11

Annexes et autres renseignements

Annexe 3 Liste des déclarations d'initiés déposées hors délais

ANNEXE 3 LISTE DES OPÉRATIONS D'INITIÉS DÉCLARÉES HORS DÉLAI (FORMAT ÉLECTRONIQUE - SEDI)

Vous trouverez, sous la présente annexe, une liste des opérations d'initiés déclarées hors délai dans le Système électronique de déclaration des initiés (SEDI). Il s'agit de la liste des initiés qui n'ont pas déclaré leur emprise ou une modification à leur emprise à l'intérieur des délais prescrits par la Loi. Cette liste est publiée chaque semaine, mais les opérations déclarées hors délai n'y apparaissent qu'une seule fois.

Le détail des opérations déposées en retard est publié à l'Annexe B1 (Déclarations d'initiés SEDI). Ces opérations sont codifiées « R ».

L'information publiée dans cette annexe est tirée du rapport hebdomadaire produit par le Système électronique de déclaration des initiés (SEDI).

Les initiés ou leurs agents autorisés sont responsables des informations entrées dans le système et, par conséquent, de celles contenues dans le présent rapport. L'information entrée directement dans SEDI prévaut toutefois sur celle présentée ci-dessous. Certaines informations entrées par les initiés ou leurs agents, qui ne sont pas automatiquement traduites par le système, peuvent être publiées en français ou en anglais.

Le personnel rappelle aux initiés qu'ils doivent, en vertu de la Loi sur les valeurs mobilières (LVM), déclarer leur emprise ou une modification à leur emprise sur les titres d'un émetteur assujetti au Québec de façon exacte et claire et ce, dans un délai de dix jours, sauf dans certains cas précis.

L'initié qui ne déclare pas, dans les délais requis, toute modification à son emprise sur les titres de l'émetteur à l'égard duquel il est initié peut être tenu au paiement d'une sanction administrative pécuniaire ou être passible d'une amende à la suite d'une poursuite pénale.

La sanction administrative pécuniaire est prévue à l'article 274.1 LVM et à l'article 271.14 du Règlement sur les valeurs mobilières (RVM). Cette sanction administrative pécuniaire est imposée aux initiés des émetteurs assujettis pour lesquels le Québec agit à titre d'autorité principale. Afin de bien les identifier, les opérations de ces initiés apparaissent en caractère gras dans la présente Annexe. Cette sanction administrative pécuniaire est de 100 \$ par omission de déclarer pour chaque jour au cours duquel il est en défaut, jusqu'à concurrence d'une somme maximale de 5 000 \$.

L'infraction pouvant faire l'objet d'une poursuite pénale est prévue à l'article 202 LVM, avec référence à l'article 97 LVM et à l'article 174 RVM. Une telle infraction rend l'initié passible d'une amende de 1 000 \$ à 20 000 \$ dans le cas d'une personne physique et d'une amende de 1 000 \$ à 50 000 \$ dans les autres cas. Pour informations, veuillez communiquer avec l'Autorité des marchés financiers.

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
Allen, Roy H.	Foremost Income Fund	2008-12-31	2009-01-12	AB
AltaGas Utililty Group Inc.	AltaGas Utility Group Inc.	2008-12-11	2009-01-13	AB
Arfors, Leopold Bernt Harry	BioMS Medical Corp.	2009-01-02	2009-01-14	AB
Askew, James	Golden Star Resources Ltd.	2008-12-16	2009-01-09	ON
Baltimore Bio Tech Holdings Inc.	Bradmer Pharmaceuticals Inc.	2008-12-24	2009-01-12	ON
Boutcher, Carrie	Armtec Infrastructure Income Fund	2008-12-29	2009-01-09	ON
Bradmer Ventures Inc.	Bradmer Pharmaceuticals Inc.	2008-12-24	2009-01-12	ON
Bull, Peter Morris	Retrocom Mid-Market Real Estate Investment Trust	2008-01-08	2009-01-14	ON
Busseri, Tony P	Hanfeng Evergreen Inc.	2008-12-16	2009-01-09	ON
C.A. Bancorp Canadian Realty Finance Corporation	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-01	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-02	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-03	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-04	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-04	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-08	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-11	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-11	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-12	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-18	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-18	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-19	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-22	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-23	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-24	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-24	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-29	2009-01-12	ON
	C.A. Bancorp Canadian Realty Finance Corporation	2008-12-31	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
Canadian Resources Income Trust				
	CANADIAN RESOURCES INCOME TRUST	2008-12-05	2009-01-12	ON
Canadian Wireless Trust				
	Canadian Wireless Trust	2008-12-31	2009-01-12	ON
Cashin, Peter John				
	Corporation Uranium Quest	2008-12-31	2009-01-12	QC
Chagnon, Sylvain				
	Morneau Sobeco Income Fund	2008-12-16	2009-01-09	ON
Charter Real Estate Investment Trust				
	Charter Real Estate Investment Trust	2008-12-02	2009-01-12	ON
	Charter Real Estate Investment Trust	2008-12-05	2009-01-12	ON
	Charter Real Estate Investment Trust	2008-12-16	2009-01-12	ON
	Charter Real Estate Investment Trust	2008-12-16	2009-01-12	ON
Clark, Richard Peter				
	Red Back Mining Inc.	2008-12-29	2009-01-14	BC
Cockwell, Jack Lynn				
	Norbord Inc.	2008-12-24	2009-01-13	ON
Cooke, Bradford				
	Endeavour Silver Corp.	2007-12-31	2009-01-09	BC
	Endeavour Silver Corp.	2008-12-23	2009-01-09	BC
Corriente Master Fund, LP				
	Sprott Molybdenum Participation Corporation	2008-01-09	2009-01-09	ON
	Sprott Molybdenum Participation Corporation	2008-01-12	2009-01-13	ON
Cousens, Scott Dibblee				
	Taseko Mines Limited	2008-12-19	2009-01-09	BC
de Grace, Patrick John				
	Liquor Stores Income Fund	2008-01-08	2009-01-09	AB
Diversified Preferred Share Trust				
	Diversified Preferred Share Trust	2008-12-04	2009-01-12	ON
	Diversified Preferred Share Trust	2008-12-18	2009-01-12	ON
	Diversified Preferred Share Trust	2008-12-24	2009-01-12	ON
diversiYield Income Fund				
	diversiYield Income Fund	2008-11-13	2009-01-09	ON
	diversiYield Income Fund	2008-11-14	2009-01-09	ON
	diversiYield Income Fund	2008-11-19	2009-01-09	ON
	diversiYield Income Fund	2008-11-20	2009-01-09	ON
	diversiYield Income Fund	2008-11-25	2009-01-09	ON
	diversiYield Income Fund	2008-11-27	2009-01-09	ON
Dunk-Green, Karen Elizabeth				

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
Dunn, Kevin	La Banque Toronto-Dominion	2008-12-11	2009-01-09	ON
Energy Split Corp. II Inc.	Canaccord Capital Inc.	2008-12-31	2009-01-15	BC
	Energy Split Corp. II Inc.	2008-12-16	2009-01-12	ON
	Energy Split Corp. II Inc.	2008-12-16	2009-01-12	ON
Fagin, David	Golden Star Resources Ltd.	2008-12-16	2009-01-09	ON
Franche, Guy	Le Groupe Jean Coutu (PJC) inc.	2008-11-21	2009-01-15	QC
	Le Groupe Jean Coutu (PJC) inc.	2008-11-28	2009-01-15	QC
Geyer, Paul	Neovasc Inc. (formerly Medical Ventures Corp.)	2008-07-01	2009-01-13	BC
	Neovasc Inc. (formerly Medical Ventures Corp.)	2008-10-31	2009-01-13	BC
Heersink, Ewout R.	ONEX CORPORATION	2008-11-20	2009-01-08	ON
	ONEX CORPORATION	2008-11-21	2009-01-08	ON
	ONEX CORPORATION	2008-11-21	2009-01-08	ON
Holt, Derek William	La Banque de Nouvelle - Ecosse	2008-12-05	2009-01-13	ON
Humeniuk, Orest	BONAVISTA ENERGY TRUST	2006-12-06	2009-01-09	AB
	BONAVISTA ENERGY TRUST	2006-12-06	2009-01-09	AB
	BONAVISTA ENERGY TRUST	2008-01-24	2009-01-09	AB
Jackson, Donald Kenneth	Corporation Vector Aérospatiale	2008-12-23	2009-01-08	NF
Johansson, Lars-Eric	Golden Star Resources Ltd.	2008-12-16	2009-01-09	ON
Jonsson, Carl Roland	Bonterra Oil & Gas Ltd.	2008-11-24	2009-01-14	AB
Leiman, David Ernest	Northern Property Real Estate Investment Trust	2009-01-02	2009-01-14	AB
Levy, Arnon	Guest-Tek Interactive Entertainment Ltd.	2008-12-29	2009-01-12	AB
Liebesman, Karen	Corporation Cott	2008-12-01	2009-01-12	ON
MacGregor, Ian	Golden Star Resources Ltd.	2008-12-16	2009-01-09	ON
Marko, Alexei				

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Neovasc Inc. (formerly Medical Ventures Corp.)	2008-07-01	2009-01-15	BC
	Neovasc Inc. (formerly Medical Ventures Corp.)	2008-10-31	2009-01-15	BC
Martineau, Michael Peter				
	Golden Star Resources Ltd.	2008-12-16	2009-01-09	ON
McMullan, Brian Keith				
	Global Railway Industries Ltd.	2008-03-24	2009-01-12	AB
Medical Facilities Corporation				
	Medical Facilities Corporation	2008-01-09	2009-01-15	ON
Meyerholtz, William				
	WGI Heavy Minerals, Incorporated	2008-12-30	2009-01-12	BC
	WGI Heavy Minerals, Incorporated	2008-12-30	2009-01-12	BC
Mix, Helen May				
	Boardwalk Real Estate Investment Trust	2009-01-03	2009-01-14	AB
Morrey, Graham				
	Global Alumina Corporation	2006-12-11	2009-01-09	ON
	Global Alumina Corporation	2008-12-24	2009-01-08	ON
Munsters, Frank				
	Morguard Corporation	2008-12-09	2009-01-15	ON
Ogilvy, Colin, Foster				
	Twin Butte Energy Ltd.	2008-12-29	2009-01-12	AB
Oil Sands and Energy Mega-Projects Trust				
	Fiducie de sables bitumineux et de mega-projets energetiques	2008-12-04	2009-01-12	ON
	Fiducie de sables bitumineux et de mega-projets energetiques	2008-12-11	2009-01-12	ON
	Fiducie de sables bitumineux et de mega-projets energetiques	2008-12-18	2009-01-12	ON
	Fiducie de sables bitumineux et de mega-projets energetiques	2008-12-24	2009-01-12	ON
	Fiducie de sables bitumineux et de mega-projets energetiques	2008-12-31	2009-01-12	ON
Precious Metals and Mining Trust				
	Precious Metals and Mining Trust	2008-12-04	2009-01-12	ON
	Precious Metals and Mining Trust	2008-12-11	2009-01-12	ON
	Precious Metals and Mining Trust	2008-12-18	2009-01-12	ON
	Precious Metals and Mining Trust	2008-12-24	2009-01-12	ON
Premier Value Income Fund				
	Premier Value Income Trust	2008-12-04	2009-01-12	ON
	Premier Value Income Trust	2008-12-11	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Premier Value Income Trust	2008-12-18	2009-01-12	ON
	Premier Value Income Trust	2008-12-24	2009-01-12	ON
Price, Patricia Jean				
	GeoGlobal Resources Inc.	2008-12-18	2009-01-15	AB
Pro-Vest Growth & Income Fund				
	PRO-VEST GROWTH & INCOME FUND	2008-12-04	2009-01-12	ON
	PRO-VEST GROWTH & INCOME FUND	2008-12-18	2009-01-12	ON
	PRO-VEST GROWTH & INCOME FUND	2008-12-24	2009-01-12	ON
Quartermain, Robert Allan				
	Silver Standard Resources Inc.	2008-12-18	2009-01-09	BC
Rasche, Christian Werner				
	Dynetek Industries Ltd.	2008-12-01	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-02	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-04	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-18	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-19	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-22	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-23	2009-01-13	AB
	Dynetek Industries Ltd.	2008-12-24	2009-01-13	AB
Renner, James				
	Global Railway Industries Ltd.	2008-12-29	2009-01-09	AB
Richmond, Dale Elson				
	IBI Income Fund	2008-11-19	2009-01-13	ON
Robinson, Lynda Julie				
	BONAVISTA ENERGY TRUST	2008-04-04	2009-01-09	AB
	BONAVISTA ENERGY TRUST	2008-04-04	2009-01-09	AB
Rogers, Mark Charles				
	Bradmer Pharmaceuticals Inc.	2008-12-24	2009-01-12	ON
SCITI ROCS Trust				
	SCITI ROCS Trust	2008-12-31	2009-01-12	ON
SCITI Total Return Trust				
	SCITI Total Return Trust	2008-12-31	2009-01-12	ON
SCITI Trust				
	SCITI Trust	2008-12-31	2009-01-12	ON
SCITI Trust II				
	SCITI Trust II	2008-12-31	2009-01-12	ON
Sentry Select China Fund				
	Sentry Select China Fund	2008-12-01	2009-01-12	ON
	Sentry Select China Fund	2008-12-02	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Sentry Select China Fund	2008-12-03	2009-01-12	ON
	Sentry Select China Fund	2008-12-04	2009-01-12	ON
	Sentry Select China Fund	2008-12-05	2009-01-12	ON
	Sentry Select China Fund	2008-12-08	2009-01-12	ON
	Sentry Select China Fund	2008-12-09	2009-01-12	ON
	Sentry Select China Fund	2008-12-10	2009-01-12	ON
	Sentry Select China Fund	2008-12-11	2009-01-12	ON
	Sentry Select China Fund	2008-12-12	2009-01-12	ON
	Sentry Select China Fund	2008-12-15	2009-01-12	ON
	Sentry Select China Fund	2008-12-16	2009-01-12	ON
	Sentry Select China Fund	2008-12-18	2009-01-12	ON
	Sentry Select China Fund	2008-12-19	2009-01-12	ON
	Sentry Select China Fund	2008-12-22	2009-01-12	ON
	Sentry Select China Fund	2008-12-23	2009-01-12	ON
	Sentry Select China Fund	2008-12-24	2009-01-12	ON
	Sentry Select China Fund	2008-12-30	2009-01-12	ON
	Sentry Select China Fund	2008-12-31	2009-01-12	ON
Sentry Select Commodities Income Trust				
	Sentry Select Commodities Income Trust	2008-12-04	2009-01-12	ON
	Sentry Select Commodities Income Trust	2008-12-11	2009-01-12	ON
	Sentry Select Commodities Income Trust	2008-12-18	2009-01-12	ON
	Sentry Select Commodities Income Trust	2008-12-24	2009-01-12	ON
	Sentry Select Commodities Income Trust	2008-12-31	2009-01-12	ON
Sentry Select Diversified Income Trust				
	Sentry Select Diversified Income Trust	2008-12-01	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-01	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-02	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-02	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-03	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-03	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-04	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-04	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-05	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-05	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-08	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-08	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-09	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-09	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-10	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Sentry Select Diversified Income Trust	2008-12-10	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-11	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-11	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-12	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-12	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-15	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-15	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-16	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-16	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-17	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-18	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-19	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-19	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-22	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-22	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-23	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-23	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-24	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-24	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-29	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-29	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-30	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-30	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-31	2009-01-12	ON
	Sentry Select Diversified Income Trust	2008-12-31	2009-01-12	ON
Sentry Select Focused Growth & Income Trust				
	Sentry Select Focused Growth & Income Trust	2008-12-04	2009-01-12	ON
	Sentry Select Focused Growth & Income Trust	2008-12-11	2009-01-12	ON
	Sentry Select Focused Growth & Income Trust	2008-12-18	2009-01-12	ON
	Sentry Select Focused Growth & Income Trust	2008-12-24	2009-01-12	ON
Sentry Select Global Real Estate Fund				
	Sentry Select Global Real Estate Fund	2008-12-02	2009-01-12	ON
	Sentry Select Global Real Estate Fund	2008-12-04	2009-01-12	ON
	Sentry Select Global Real Estate Fund	2008-12-11	2009-01-12	ON
	Sentry Select Global Real Estate Fund	2008-12-18	2009-01-12	ON
	Sentry Select Global Real Estate Fund	2008-12-24	2009-01-12	ON
	Sentry Select Global Real Estate Fund	2008-12-24	2009-01-12	ON
	Sentry Select Global Real Estate Fund	2008-12-31	2009-01-12	ON
Sentry Select Lazard Global Listed Infrastructure				

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
Fund	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-01	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-02	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-03	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-04	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-05	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-08	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-09	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-10	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-11	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-12	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-15	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-16	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-18	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-19	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-22	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-23	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-30	2009-01-12	ON
	Fonds d'infrastructures mondiales cotées Sentry Select Lazard	2008-12-31	2009-01-12	ON
Sentry Select MBS Adjustable Rate Income Fund II	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-02	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-04	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-04	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-05	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-08	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-11	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-12	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-18	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-18	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-19	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-23	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-24	2009-01-12	ON
	Sentry Select MBS Adjustable Rate Income Fund II	2008-12-31	2009-01-12	ON
Sentry Select Primary Metals Corp.				
	Sentry Select Primary Metals Corp.	2008-12-01	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-01	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-03	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-04	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-04	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-05	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-08	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-08	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-09	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-10	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-10	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-11	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-11	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-12	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-12	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-15	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-15	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-16	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-16	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-18	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-18	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-19	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-19	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-22	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-22	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-23	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-23	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-24	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Sentry Select Primary Metals Corp.	2008-12-24	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-29	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-29	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-30	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-30	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-31	2009-01-12	ON
	Sentry Select Primary Metals Corp.	2008-12-31	2009-01-12	ON
Sheff, Gerald				
	Gluskin Sheff + Associates Inc.	2008-12-17	2009-01-09	ON
	Gluskin Sheff + Associates Inc.	2008-12-18	2009-01-09	ON
	Gluskin Sheff + Associates Inc.	2008-12-19	2009-01-09	ON
Silver, Michael Norman				
	Universal Energy Group Ltd.	2008-12-18	2009-01-08	ON
Simcox, Paul				
	Whiterock Real Estate Investment Trust	2007-02-26	2009-01-09	ON
Skehar, Jason Edward				
	BONAVISTA ENERGY TRUST	2007-10-07	2009-01-09	AB
	BONAVISTA ENERGY TRUST	2007-10-07	2009-01-09	AB
Slaunwhite, Michael				
	Open Text Corporation	2008-12-10	2009-01-13	ON
Spector, Arthur				
	Timminco Limitee	2008-12-24	2009-01-09	ON
Spence, Harold R.				
	BONAVISTA ENERGY TRUST	2008-01-21	2009-01-09	AB
Storm, Norman				
	Corporation Minière Osisko	2008-12-11	2009-01-12	QC
Strategic Energy Fund				
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-01	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-02	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-03	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-04	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-05	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-08	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-09	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-10	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-11	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-12	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-15	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-16	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-18	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-19	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-22	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-23	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-24	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-29	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-30	2009-01-12	ON
	Strategic Energy Fund (formerly NCE Strategic Energy Fund)	2008-12-31	2009-01-12	ON
TERRELL, Michael A.				
	Golden Star Resources Ltd.	2008-12-16	2009-01-09	ON
Vidal, Martin				
	Ranaz Corporation	2008-12-24	2009-01-14	QC
Walker, Elizabeth				
	Global Alumina Corporation	2008-12-24	2009-01-08	ON
Wayne, Smith				
	Great Plains Exploration Inc.	2008-11-17	2009-01-13	AB
White, David				
	Fonds de revenu Ag Growth	2009-01-01	2009-01-14	MB
Wrobel, Bruce				
	Global Alumina Corporation	2008-12-29	2009-01-12	ON

Opérations d'initiés déclarées hors délai

Initié	Émetteur	Date de l'opération	Date de réception	Autorité principale
	Global Alumina Corporation	2008-12-29	2009-01-12	ON
	Global Alumina Corporation	2008-12-30	2009-01-12	ON
	Global Alumina Corporation	2008-12-31	2009-01-12	ON