

6.11

Annexes et autres renseignements

Annexe 3 Liste des déclarations d'initiés déposées hors délais

ANNEXE 3 LISTE DES OPÉRATIONS D'INITIÉS DÉCLARÉES HORS DÉLAI (FORMAT ÉLECTRONIQUE - SEDI)

Vous trouverez, sous la présente annexe, une liste des opérations d'initiés déclarées hors délai dans le Système électronique de déclaration des initiés (SEDI). Il s'agit de la liste des initiés qui n'ont pas déclaré leur emprise ou une modification à leur emprise à l'intérieur des délais prescrits par la Loi. Cette liste est publiée chaque semaine, mais les opérations déclarées hors délai n'y apparaissent qu'une seule fois.

Le détail des opérations déposées en retard est publié à l'Annexe B1 (Déclarations d'initiés SEDI). Ces opérations sont codifiées « R ».

L'information publiée dans cette annexe est tirée du rapport hebdomadaire produit par le Système électronique de déclaration des initiés (SEDI).

Les initiés ou leurs agents autorisés sont responsables des informations entrées dans le système et, par conséquent, de celles contenues dans le présent rapport. L'information entrée directement dans SEDI prévaut toutefois sur celle présentée ci-dessous. Certaines informations entrées par les initiés ou leurs agents, qui ne sont pas automatiquement traduites par le système, peuvent être publiées en français ou en anglais.

Le personnel rappelle aux initiés qu'ils doivent, en vertu de la Loi sur les valeurs mobilières (LVM), déclarer leur emprise ou une modification à leur emprise sur les titres d'un émetteur assujéti au Québec de façon exacte et claire et ce, dans un délai de dix jours, sauf dans certains cas précis.

L'initié qui ne déclare pas, dans les délais requis, toute modification à son emprise sur les titres de l'émetteur à l'égard duquel il est initié peut être tenu au paiement d'une sanction administrative pécuniaire ou être passible d'une amende à la suite d'une poursuite pénale.

La sanction administrative pécuniaire est prévue à l'article 274.1 LVM et à l'article 271.14 du Règlement sur les valeurs mobilières (RVM). Cette sanction administrative pécuniaire est imposée aux initiés des émetteurs assujettis pour lesquels le Québec agit à titre d'autorité principale. Afin de bien les identifier, les opérations de ces initiés apparaissent en caractère gras dans la présente Annexe. Cette sanction administrative pécuniaire est de 100 \$ par omission de déclarer pour chaque jour au cours duquel il est en défaut, jusqu'à concurrence d'une somme maximale de 5 000 \$.

L'infraction pouvant faire l'objet d'une poursuite pénale est prévue à l'article 202 LVM, avec référence à l'article 97 LVM et à l'article 174 RVM. Une telle infraction rend l'initié passible d'une amende de 1 000 \$ à 20 000 \$ dans le cas d'une personne physique et d'une amende de 1 000 \$ à 50 000 \$ dans les autres cas. Pour informations, veuillez communiquer avec l'Autorité des marchés financiers.

| Opérations d'initiés déclarées hors délai | | | | |
|---|----------------------------------|---------------------|-------------------|---------------------|
| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
| Amin, Mark Mohammed | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-09-26 | 2008-10-15 | BC |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Assie, George Bernard | Corporation Cameco | 2008-05-12 | 2008-10-15 | SK |
| | Corporation Cameco | 2008-06-05 | 2008-10-15 | SK |
| Beatty, Stewart | Prestige Telecom Inc. | 2008-06-18 | 2008-10-10 | QC |
| Beischer, Gregory Allan | Millrock Resources Inc. | 2008-09-17 | 2008-10-14 | BC |
| | Millrock Resources Inc. | 2008-09-17 | 2008-10-14 | BC |
| | Millrock Resources Inc. | 2008-09-25 | 2008-10-14 | BC |
| | Millrock Resources Inc. | 2008-10-01 | 2008-10-14 | BC |
| Bertram, James Vance | TriStar Oil & Gas Ltd. | 2008-09-09 | 2008-10-16 | AB |
| | TriStar Oil & Gas Ltd. | 2008-09-09 | 2008-10-16 | AB |
| Bonnor, Eric Peter | Brookfield Asset Management Inc. | 2008-02-20 | 2008-10-09 | ON |
| Brennan, Catherine | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Carpenter, Peter Richmond | Enterra Energy Trust | 2007-08-08 | 2008-10-15 | AB |
| Casiez, Bernard | Ges Technologies inc. | 1999-02-23 | 2008-10-16 | QC |
| | Ges Technologies inc. | 1999-04-13 | 2008-10-16 | QC |
| | Ges Technologies inc. | 2000-03-28 | 2008-10-16 | QC |
| | Ges Technologies inc. | 2000-03-28 | 2008-10-16 | QC |
| | Ges Technologies inc. | 2000-10-10 | 2008-10-14 | QC |

Opérations d'initiés déclarées hors délai

| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
|---|--------------------------------------|---------------------|-------------------|---------------------|
| | Ges Technologies inc. | 2000-10-10 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2000-11-20 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2000-11-20 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2000-11-20 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2000-11-20 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2006-12-14 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2008-01-07 | 2008-10-14 | QC |
| Charter Real Estate Investment Trust | | | | |
| | Charter Real Estate Investment Trust | 2008-09-19 | 2008-10-14 | ON |
| | Charter Real Estate Investment Trust | 2008-09-23 | 2008-10-14 | ON |
| | Charter Real Estate Investment Trust | 2008-09-26 | 2008-10-14 | ON |
| Cranfield, Curt | | | | |
| | Coastal Contacts Inc. | 2008-10-01 | 2008-10-15 | BC |
| Dolan, Polly | | | | |
| | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Edgar, Richard Norman | | | | |
| | TriStar Oil & Gas Ltd. | 2008-09-09 | 2008-10-16 | AB |
| | TriStar Oil & Gas Ltd. | 2008-09-09 | 2008-10-16 | AB |
| Élie, Jean André | | | | |
| | IAMGOLD Corporation | 2008-09-30 | 2008-10-15 | ON |
| Evrensel, Arthur | | | | |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Figuereo, Juan | | | | |
| | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Foucault, Jean | | | | |
| | Ges Technologies inc. | 1999-02-23 | 2008-10-10 | QC |
| | Ges Technologies inc. | 1999-04-13 | 2008-10-10 | QC |
| | Ges Technologies inc. | 2000-10-10 | 2008-10-10 | QC |
| | Ges Technologies inc. | 2000-10-10 | 2008-10-10 | QC |
| Gagnon, Jean-Claude | | | | |
| | Ges Technologies inc. | 1999-02-23 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-04-13 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2000-10-10 | 2008-10-14 | QC |
| Georganas, Constantine | | | | |
| | West 49 Inc. | 2008-10-01 | 2008-10-13 | ON |
| Gibbons, Michael | | | | |
| | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Gonzalez, Abilio | | | | |
| | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |

Opérations d'initiés déclarées hors délai

| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
|----------------------------------|-----------------------------------|---------------------|-------------------|---------------------|
| Gordon, Lorne | | | | |
| | Pembina Pipeline Income Fund | 2008-09-09 | 2008-10-10 | AB |
| | Pembina Pipeline Income Fund | 2008-09-09 | 2008-10-10 | AB |
| | Pembina Pipeline Income Fund | 2008-09-11 | 2008-10-10 | AB |
| | Pembina Pipeline Income Fund | 2008-09-11 | 2008-10-10 | AB |
| | Pembina Pipeline Income Fund | 2008-09-11 | 2008-10-10 | AB |
| Gouin, Serge | | | | |
| | Corporation Cott | 2002-12-10 | 2008-10-13 | ON |
| Gould, Peter James | | | | |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| | Research In Motion Limited | 2008-04-29 | 2008-10-10 | ON |
| Hallbauer, Russell Edward | | | | |
| | Taseko Mines Limited | 2008-09-16 | 2008-10-10 | BC |
| | Taseko Mines Limited | 2008-09-17 | 2008-10-10 | BC |
| Harrod, Darcy Joel | | | | |
| | Finning International Inc. | 2008-05-16 | 2008-10-15 | BC |
| Hinse, Normand | | | | |
| | MINES ABCOURT INC. | 2006-05-23 | 2008-10-14 | QC |
| | MINES ABCOURT INC. | 2007-12-10 | 2008-10-14 | QC |
| Jones, Peter Clark | | | | |
| | IAMGOLD Corporation | 2008-09-30 | 2008-10-16 | ON |
| Kane, Matthew | | | | |
| | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Koffman, Morley | | | | |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Kurtas, Stanley M. | | | | |
| | Manitoba Telecom Services Inc. | 2008-09-26 | 2008-10-10 | MB |
| Lambert, Alain | | | | |
| | CPVC Financial Corporation | 2006-11-07 | 2008-10-16 | QC |
| Lambert, Keith Henry | | | | |
| | Flint Energy Services Ltd. | 2008-09-29 | 2008-10-14 | AB |
| | Flint Energy Services Ltd. | 2008-10-01 | 2008-10-14 | AB |

Opérations d'initiés déclarées hors délai

| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
|--------------------------------------|--|---------------------|-------------------|---------------------|
| Leiter, Gregory | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Les services Jean-Claude Gagnon inc. | Ges Technologies inc. | 2000-07-11 | 2008-10-14 | QC |
| Lewis, David Andrew | easyhome Ltd. | 2008-04-28 | 2008-10-15 | AB |
| Ludwig, Harald Horst | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Lyons, Terrence | High River Gold Mines Ltd. | 2008-09-09 | 2008-10-14 | ON |
| Mackenzie, Richard A. | Banque de Montréal | 2008-09-23 | 2008-10-16 | QC |
| Manherz, Robert | CO2 Solution Inc. | 2008-08-28 | 2008-10-11 | QC |
| | CO2 Solution Inc. | 2008-08-28 | 2008-10-11 | QC |
| Martin, Micheline | Banque Royale du Canada | 2003-03-18 | 2008-10-10 | QC |
| | Banque Royale du Canada | 2004-05-27 | 2008-10-10 | QC |
| | Banque Royale du Canada | 2007-08-17 | 2008-10-10 | QC |
| May, Laurie S | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| McLeod, Donald Bruce | Sherwood Copper Corporation | 2007-04-02 | 2008-10-15 | BC |
| Mistarz, Cecily | Banque de Montréal | 2008-09-26 | 2008-10-15 | QC |
| | Banque de Montréal | 2008-09-26 | 2008-10-15 | QC |
| O'Keeffe, Edmund | Corporation Cott | 2008-05-02 | 2008-10-13 | ON |
| Paterson, G. Scott | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Pollard Equities Limited | Pollard Banknote Income Fund | 2008-10-03 | 2008-10-16 | MB |
| Reis, William (Bill) | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Roane, Glen Dawson | Badger Income Fund | 2008-09-10 | 2008-10-10 | AB |
| ROCABE INVESTMENTS INC. | BELLUS Santé inc. (auparavant Neurochem Inc.) | 2008-09-30 | 2008-10-16 | QC |
| Rollinson, Jonathon Paul | | | | |

Opérations d'initiés déclarées hors délai

| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
|--|---|---------------------|-------------------|---------------------|
| | Kinross Gold Corporation | 2008-09-30 | 2008-10-15 | ON |
| | Kinross Gold Corporation | 2008-09-30 | 2008-10-15 | ON |
| Rotunda, Joseph Louis | | | | |
| | easyhome Ltd. | 2008-04-28 | 2008-10-15 | AB |
| Rousseau, Marc | | | | |
| | Ges Technologies inc. | 2006-12-21 | 2008-10-10 | QC |
| ROYAL HOST REAL ESTATE INVESTMENT TRUST | | | | |
| | Holloway Lodging Real Estate Investment Trust | 2008-09-22 | 2008-10-10 | NS |
| San Martin, Richard | | | | |
| | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Scott's Real Estate Investment Trust | | | | |
| | Scott's Real Estate Investment Trust | 2008-04-09 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-11 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-15 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-17 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-21 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-22 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-23 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-29 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-04-30 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-01 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-06 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-08 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-14 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-20 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-28 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-29 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-05-30 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-02 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-04 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-05 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-06 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-16 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-19 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-20 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-23 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-24 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-26 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-06-30 | 2008-10-10 | ON |

Opérations d'initiés déclarées hors délai

| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
|------------------------------------|--------------------------------------|---------------------|-------------------|---------------------|
| | Scott's Real Estate Investment Trust | 2008-07-02 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-03 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-04 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-08 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-11 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-15 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-17 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-21 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-23 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-24 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-07-25 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-01 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-05 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-06 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-07 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-08 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-11 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-13 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-15 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-18 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-19 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-20 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-21 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-25 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-26 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-28 | 2008-10-10 | ON |
| | Scott's Real Estate Investment Trust | 2008-08-29 | 2008-10-10 | ON |
| Seacliff Construction Corp. | | | | |
| | Seacliff Construction Corp. | 2008-09-30 | 2008-10-15 | BC |
| Shaw, John Thomas | | | | |
| | IAMGOLD Corporation | 2008-09-30 | 2008-10-15 | ON |
| Simm, Daryl | | | | |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| SIMMONS, HARDWICK | | | | |
| | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Snethun, Leif | | | | |
| | Canadian Superior Energy Inc. | 2008-09-30 | 2008-10-14 | AB |
| | Canadian Superior Energy Inc. | 2008-10-01 | 2008-10-14 | AB |
| | Canadian Superior Energy Inc. | 2008-10-03 | 2008-10-15 | AB |

Opérations d'initiés déclarées hors délai

| Initié | Émetteur | Date de l'opération | Date de réception | Autorité principale |
|------------------------------|--------------------------------|---------------------|-------------------|---------------------|
| SPEQ GES inc. | Ges Technologies inc. | 1999-04-13 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-05-31 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-08-12 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-09-30 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-11-04 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-12-30 | 2008-10-14 | QC |
| | Ges Technologies inc. | 1999-12-31 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2000-02-23 | 2008-10-14 | QC |
| | Ges Technologies inc. | 2006-09-30 | 2008-10-14 | QC |
| Tobin, Brian Vincent | LIONS GATE ENTERTAINMENT CORP. | 2008-10-01 | 2008-10-15 | BC |
| Trinidad Drilling Ltd | Trinidad Drilling Ltd. | 2008-09-29 | 2008-10-16 | AB |
| Vautrin, David | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Vigneault, David | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| Virmani, Prem | Corporation Cott | 2008-05-02 | 2008-10-10 | ON |
| WILKINSON, ROBERT | Pure Energy Services Ltd. | 2008-09-22 | 2008-10-09 | AB |
| | Pure Energy Services Ltd. | 2008-09-23 | 2008-10-09 | AB |
| Williams, David | ATLANTIS SYSTEMS CORP. | 2008-09-29 | 2008-10-14 | ON |
| Zakaib, Lorne | Solutions Extenway Inc. | 2007-11-20 | 2008-10-15 | QC |
| | Solutions Extenway Inc. | 2007-11-21 | 2008-10-15 | QC |
| | Solutions Extenway Inc. | 2007-11-21 | 2008-10-15 | QC |